

## Exercise 7

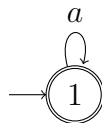
### Task 1

Which of the following statements are correct? Give reasons for your answer.

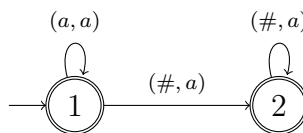
- (a)  $(\mathbb{N}, \leq)$  is automatically presentable.
- (b) Let  $M \subseteq \mathbb{N}$  (unary relation), then  $(\mathbb{N}, M)$  is automatically presentable.

### Solution:

- (a) This statement is correct: Let  $f: \mathbb{N} \rightarrow \{a\}^*$  be defined by  $f(i) = a^i$ . Let  $(\{a\}^*, \leq_a)$  with  $a^i \leq_a a^j$  if and only if  $i \leq j$ . Then  $(\mathbb{N}, \leq)$  and  $(\{a\}^*, \leq_a)$  are isomorphic and  $f$  is the corresponding isomorphism, as  $i \leq j$  if and only if  $f(i) = a^i \leq a^j = f(j)$ . Furthermore,  $f$  is bijective. Moreover,  $(\{a\}^*, \leq_a)$  is automatic, as



is a finite automaton for  $\{a\}^*$  and



is a 2-tape automaton for  $\leq_a$ .

- (b) This statement is correct: If  $M$  or  $\mathbb{N} \setminus M$  is finite, then let  $(\{a\}^*, P)$  with  $P = \{a^i \mid i \in M\}$  and  $f(i) = a^i$ . We find that  $(\{a\}^*, P)$  and  $(\mathbb{N}, M)$  are isomorphic and  $f$  is the corresponding isomorphism. The automaton that accepts  $\{a\}^*$  is shown in part (a). If  $M$  is finite, then  $P$  is finite and thus accepted by a finite automaton as finite languages are always regular (recall that  $P$  is a unary relation and a 1-tape automaton is a „standard“ finite automaton).

If  $\mathbb{N} \setminus M$  is finite, then the complement of  $P$  is finite and hence regular. As regular languages are closed under taking the complement, we find that  $P$  is regular and thus there is a finite automaton which accepts  $P$ . Thus,  $(\{a\}^*, P)$  is automatic in this case.

If both  $M$  and  $\mathbb{N} \setminus M$  are infinite, then let  $M = \{a_0, a_1, a_2, \dots\}$  and let  $\mathbb{N} \setminus M = \{b_1, b_2, \dots\}$  (note that both  $M$  and  $\mathbb{N} \setminus M$  are countable as subsets of  $\mathbb{N}$ ). We define  $(\{a\}^* \cup \{b\}^*, P)$  by  $P = \{a\}^*$  and  $f: \mathbb{N} \rightarrow \{a\}^* \cup \{b\}^*$  by

$$f(i) = \begin{cases} a^j & \text{if } i \in M, a_j = i, \\ b^j & \text{if } i \notin M, b_j = i. \end{cases}$$

Then  $f$  is an isomorphism, as  $f$  is bijective and  $f(i) \in P$  holds if and only if  $i \in M$ . Furthermore, we find that  $(\{a\}^* \cup \{b\}^*, P)$  is automatic, as



is an automaton for  $\{a\}^* \cup \{b\}^*$  and  $P = \{a\}^*$  is accepted by the finite automaton in part (a).

### Task 2

Are any two countable linear orders without a smallest and a largest element isomorphic?

#### Solution:

We find that  $(\mathbb{Z}, \leq)$  and  $(\mathbb{Q}, \leq)$  are countable linear orders without a smallest and a largest element, but they are not isomorphic: For example, we find that  $(\mathbb{Q}, \leq)$  is dense, but  $(\mathbb{Z}, \leq)$  is not dense. In order to show a contradiction, assume that there is a bijection  $h: \mathbb{Z} \rightarrow \mathbb{Q}$ , such that

$$a \leq b \iff h(a) \leq h(b)$$

holds for all  $a, b \in \mathbb{Z}$ . Fix two elements  $a, b \in \mathbb{Z}$  such that  $a + 1 = b$ . As  $\mathbb{Q}$  is dense, there is an element  $q \in \mathbb{Q}$ , such that  $h(a) < q < h(b)$ . As  $h$  is a bijection, we have  $q = h(c)$  for an element  $c \in \mathbb{Z}$ . However, we either have  $c < a$  or  $b < c$ , as  $a + 1 = b$ . This yields a contradiction.

### Task 3

Let  $\Sigma = \{a, b\}$ . Show that

(a) the *lexicographic order*  $\leq_{\text{lex}}$  defined by

$$u \leq_{\text{lex}} v \iff u \text{ is a prefix of } v \text{ or} \\ \text{there are } x, y, z \in \Sigma^* \text{ such that } u = xay \text{ and } v = xbz,$$

(b) the *length-lexicographic order*  $\leq_{\text{llex}}$  defined by

$$u \leq_{\text{llex}} v \iff |u| < |v| \text{ or } (|u| = |v| \text{ and } u \leq_{\text{lex}} v).$$

are linear orders.

**Solution:**

We have to show that the orders are *reflexive*, *anti-symmetric*, *transitive* and *linear*.

(a) The lexicographic order is a linear order:

**reflexive:** We have  $u \leq_{\text{lex}} u$  for every  $u \in \Sigma^*$ , as  $u$  is a prefix of itself.

**anti-symmetric:** Let  $u \leq_{\text{lex}} v$  and  $v \leq_{\text{lex}} u$  for  $u, v \in \Sigma^*$ . Then  $u$  must be a prefix of  $v$  and  $v$  must be a prefix of  $u$ . It follows that  $u = v$ .

**transitive:** Let  $u \leq_{\text{lex}} v$  and  $v \leq_{\text{lex}} w$  for  $u, v, w \in \Sigma^*$ . Several cases are possible:

- (i)  $u$  is a prefix of  $v$  and  $v$  is a prefix of  $w$
- (ii)  $u$  is a prefix of  $v$  and  $v = xay$ ,  $w = xbz$  for  $x, y, z \in \Sigma^*$
- (iii)  $u = xay$ ,  $v = xbz$  for  $x, y, z \in \Sigma^*$  and  $v$  is a prefix of  $w$
- (iv)  $u = xay$ ,  $v = xbz$  for  $x, y, z \in \Sigma^*$  and  $v = paq$ ,  $v = pbr$  for  $p, q, r \in \Sigma^*$

In case (i), we find that  $u$  thus must be a prefix of  $w$  and hence  $u \leq_{\text{lex}} w$ .

In case (ii), as  $u$  is a prefix of  $v$ , it follows that  $u$  is a prefix of  $xay$ . If  $u$  is even a prefix of  $x$ , it follows that  $u$  is a prefix of  $w = xbz$  and hence  $u \leq_{\text{lex}} w$ . Otherwise,  $u$  is of the form  $xay'$  for some  $y' \in \Sigma^*$  and hence,  $u \leq_{\text{lex}} w$ .

In case (iii), as  $v$  is a prefix of  $w$ , we find that  $w = xbz z'$  for some  $z' \in \Sigma^*$ . In particular, we have  $u = xay$  and  $v = xbz z'$ , so  $u \leq_{\text{lex}} w$ .

In case (iv), as  $v = xbz$  and  $v = paq$ , we either have that  $xb$  is a prefix of  $p$  or  $pa$  is a prefix of  $x$ . If  $pa$  is a prefix of  $x$ , we find that  $u$  is of the form  $u = pasy$  for some  $s \in \Sigma^*$ . Hence,  $u \leq_{\text{lex}} w$ . The other case that  $xb$  is a prefix of  $p$  is symmetric.

**linear:** For all  $u, v \in \Sigma^*$  it holds that  $u \leq_{\text{lex}} v$  or  $v \leq_{\text{lex}} u$ .

(b) The length-lexicographic order is a linear order:

**reflexive:** We have  $|u| = |u|$  and  $u \leq_{\text{lex}} u$  for every  $u \in \Sigma^*$ .

**anti-symmetric:** Let  $u \leq_{\text{lex}} v$  and  $v \leq_{\text{lex}} u$  for  $u, v \in \Sigma^*$ . Then it must hold that  $|u| = |v|$  and  $u \leq_{\text{lex}} v$  and  $v \leq_{\text{lex}} u$ . As in part (a), it follows that  $u = v$ .

**transitive:** Let  $u \leq_{\text{lex}} v$  and  $v \leq_{\text{lex}} w$  for  $u, v, w \in \Sigma^*$ . Again, several cases are possible:

- (i)  $|u| < |v|$  and  $|v| < |w|$

- (ii)  $|u| = |v|$ ,  $u \leq_{\text{lex}} v$  and  $|v| < |w|$
- (iii)  $|u| < |v|$  and  $|v| = |w|$ ,  $v \leq_{\text{lex}} w$
- (iv)  $|u| = |v|$ ,  $u \leq_{\text{lex}} v$  and  $|v| = |w|$ ,  $v \leq_{\text{lex}} w$ .

In cases (i), (ii) and (iii), it follows immediately that  $|u| < |w|$  and hence  $u \leq_{\text{lex}} v$ . In case (iv), we have  $u \leq_{\text{lex}} v$  and  $v \leq_{\text{lex}} w$ , so the statement follows from part (a).

**linear:** For all  $u, v \in \Sigma^*$  it holds that  $u \leq_{\text{lex}} v$  or  $v \leq_{\text{lex}} u$ .