

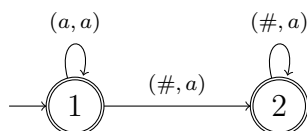
## Exercise 8

### Task 1

Check whether  $(\mathbb{N}, \leq) \models \exists x \forall y (x \leq y)$  holds by applying the technique from the proof of the Theorem of Khoussainov and Nerode.

### Solution:

In the solution of exercise sheet 7, task 1, we showed that  $(\mathbb{N}, \leq)$  is isomorphic to the following automatic structure:  $(\{a\}^*, \leq_a)$ , where  $a^i \leq_a a^j$  if and only if  $i \leq j$ . In particular,



is a 2-tape automaton for  $\leq_a$ .

As a first step, we transform the formula  $\exists x \forall y (x \leq_a y)$  into an equivalent formula which does not contain a  $\forall$ -quantifier: A  $\forall$ -quantifier can be expressed using a negation of an  $\exists$ -quantifier. We find

$$\exists x \forall y (x \leq_a y) \equiv \exists x \neg \exists y \neg (x \leq_a y).$$

Now let  $F = \exists x \neg \exists y \neg (x \leq_a y)$ . We start with the (atomic) subformula  $F_1 = x \leq_a y$ , which is treated in case 1 (slide 75) in the proof of the Theorem of Khoussainov und Nerode. This means that we construct a synchronous 2-tape automaton  $B_{F_1}$ , such that

$$K(B_{F_1}) = \{(w_1, w_2) \in \{a\}^* \times \{a\}^* \mid w_1 \leq_a w_2\}.$$

In this concrete example, we can take the 2-tape automaton from above, which accepts precisely this relation. Note that all variables of  $F$  are free variables in  $F_1$ , and we assume that they are ordered according to their occurrence in  $F_1$  – thus, the homomorphism from case 1 on slide 75 is the identity mapping.

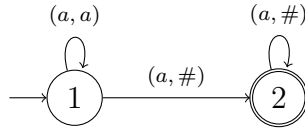
Next, we consider the subformula  $F_2 = \neg F_1 = \neg(x \leq_a y)$ : This corresponds to case 3 (slide 77) from the proof of the Theorem of Khoussainov and Nerode. We thus need a 2-tape automaton  $B_{F_2}$ , such that

$$L(B_{F_2}) = \{w_1 \otimes w_2 \mid w_1, w_2 \in \{a\}^*\} \setminus L(B_{F_1}),$$

respectively,

$$K(B_{F_2}) = \{(a^n, a^m) \mid n > m\}.$$

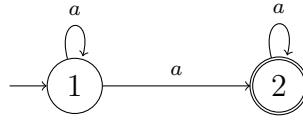
The following automaton satisfies this property:



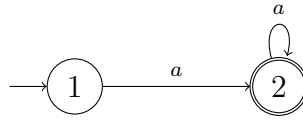
Next, we consider the subformula  $F_3 = \exists y F_2 = \exists y \neg(x \leq_a y)$ . This corresponds to case 5 (slide 78). Let  $f$  be the homomorphism defined by  $f(w_1 \otimes w_2) = w_1$  (slide 78). We are looking for an automaton  $B_{F_3}$ , such that

$$L(B_{F_3}) = f(L(B_{F_2})).$$

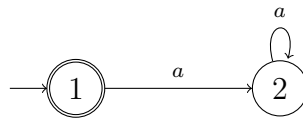
This means that we simply ignore the second component:



This non-deterministic automaton accepts the same language as the following deterministic automaton:



Next, we consider the subformula  $F_4 = \neg F_3 = \neg \exists y \neg(x \leq_a y)$ , which again corresponds to case 3 (slide 77). It is easy to see that the complement of  $L(B_{F_3})$  only contains the empty word  $\varepsilon$ . Hence,  $B_{F_4}$  is the following automaton (which is obtained by switching accept and non-accept states in the above deterministic automaton):



The formula  $F$  is of the form  $F = \exists x F_4$  (as on slide 80). We have  $(\{a\}^*, \leq_a) \models F$  if and only if  $L(B_{F_4}) \neq \emptyset$ . As  $L(B_{F_4}) = \{\varepsilon\}$ , we find that  $F \in \text{Th}(\mathbb{N}, \leq)$ .

### Task 2

Prove or disprove the following statement: If  $(\mathbb{N}, R_1)$  and  $(\mathbb{N}, R_2)$  are automatically presentable, then  $(\mathbb{N}, R_1, R_2)$  is automatically presentable.

#### Solution:

The statement is not correct: By exercise sheet 7, task 1, we know that  $(\mathbb{N}, \leq)$  and  $(\mathbb{N}, M)$  are automatically presentable, where  $M \subseteq \mathbb{N}$  is a unary relation. However, we show that  $(\mathbb{N}, \leq, M)$  is not necessarily automatically presentable: We can define every natural number  $n \in \mathbb{N}$  using  $\leq$  (and  $=$ ): Let  $a$  and  $b$  be free variables. Define  $a < b$  by  $a \leq b \wedge \neg(a = b)$ . We define the following formulas:

$$\begin{aligned} s(a, b) &= \neg \exists z (a < z \wedge z < b) \wedge (a < b), \\ 0(a) &= \neg \exists z z < a. \end{aligned}$$

The formula  $s(a, b)$  states that there is no natural number which is greater than  $a$  and smaller than  $b$  (that is,  $b$  is the immediate successor of  $a$ ). The formula  $0(a)$  defines the natural number 0, as there is no natural number, which is smaller than 0. Furthermore, we define  $s^0(a) = 0(a)$  and for every  $i \in \mathbb{N}$  let

$$s^{i+1}(a) = \exists x_i (s^i(x_i) \wedge s(x_i, a)).$$

Then  $s^n(a)$  states that the free variable  $a$  is the natural number  $n$ . Let  $M$  be an undecidable subset of  $\mathbb{N}$ . If the structure  $(\mathbb{N}, \leq, M)$  were automatically presentable, then  $\text{Th}(\mathbb{N}, \leq, M)$  would be decidable by the Theorem of Khoussainov/Nerode. Then we could check if  $n \in M$ , by checking if  $\forall x (s^n(x) \rightarrow M(x)) \in \text{Th}(\mathbb{N}, \leq, M)$ . As  $M$  is undecidable, we obtain a contradiction. Thus,  $(\mathbb{N}, \leq, M)$  cannot be automatically presentable.

### Task 3

Tarski's Theorem states that  $\text{Th}(\mathbb{R}, +, \cdot)$  is decidable. Show that  $\text{Th}(\mathbb{C}, +, \cdot)$  is decidable as well.

#### Solution:

By Tarski's Theorem, we know that  $\text{Th}(\mathbb{R}, +, \cdot)$  is decidable: Let  $F$  be a formula, such that  $(\mathbb{C}, +, \cdot)$  is suitable for  $F$ . We transform  $F$  into a formula  $F'$ , such that  $(\mathbb{R}, +, \cdot)$  is suitable for  $F'$  and such that  $F \in \text{Th}(\mathbb{C}, +, \cdot)$  if and only if  $F' \in \text{Th}(\mathbb{R}, +, \cdot)$ .

The main idea is that each complex number is uniquely representable by two real numbers, its real part and its imaginary part. Thus, we replace each variable  $x$  in  $F$  by two new variables  $x_1, x_2$  in  $F'$ , such that  $x_1$  represents the real part of  $x$  and  $x_2$  represents the imaginary part of  $x$ . Furthermore, we transform subformulas of the form  $\exists x G$  into  $\exists x_1 \exists x_2 G$  and subformulas of the form  $\forall x G$  into  $\forall x_1 \forall x_2 G$ . It remains to transform  $x + y = z$  into

$$(x_1 + y_1 = z_1) \wedge (x_2 + y_2 = z_2)$$

and  $x \cdot y = z$  into

$$(x_1 y_1 - x_2 y_2 = z_1) \wedge (x_2 y_1 + x_1 y_2 = z_2).$$

(as  $\text{Th}((\mathbb{C}, +, \cdot)_{rel})$  is decidable if and only if  $\text{Th}(\mathbb{C}, +, \cdot)$  is decidable).