## Logic II

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## Organizational matters

Information can be found at
http://www.eti.uni-siegen.de/ti/lehre/ss17/logikii/
e.g.,

- current version of the slides (german and english)
- exercise sheets for the tutorials


## Literature recommendations:

- Schöning: Logik für Informatiker, Spektrum Akademischer Verlag
- Ebbinghaus, Flum, Thomas: Einführung in die mathematische Logik, Spektrum Akademischer Verlag

The tutorials will be organized by Danny Hucke.

## Recapitulation from the course GTI

Definition (semi-decidable)
A language $L \subseteq \Sigma^{*}$ is semi-decidable if there exists an algorithm with the following properties:

For all $x \in \Sigma^{*}$ :

- If $x \in L$, then the algorithm terminates on input $x$.
- If $x \notin L$, then the algorithm does not terminate on input $x$.

Equivalent notion: recursively enumerable.
Definition (recursively enumerable)
A language $L \subseteq \Sigma^{*}$ is recursively enumerable if there exists a computable total function $f: \mathbb{N} \rightarrow \Sigma^{*}$ such that $L=\{f(i) \mid i \in \mathbb{N}\}$.

## Recapitulation from the course GTI

Definition (decidable and undecidable)
A language $L \subseteq \Sigma^{*}$ is decidable if there exists an algorithm with the following properties for all $x \in \Sigma^{*}$ :

- If $x \in L$, then the algorithm terminates on input $x$ with output "YES".
- If $x \notin L$, then the algorithm terminates on input $x$ with output "NO".

A language $L \subseteq \Sigma^{*}$ is undecidable, if it is not decidable.

Theorem
A language $L \subseteq \Sigma^{*}$ is decidable if and only if $L$ and $\Sigma^{*} \backslash L$ are both semi-decidable.

## Recapitulation from the course Logic I

A formula $F$ of predicate logic is

- satisfiable, if there exists a suitable structure $\mathcal{A}$ for $F$ with $\mathcal{A} \models F$ (i.e., $F$ is true in the structure $\mathcal{A}$ ).
- valid, if $\mathcal{A} \models F$ for every suitable structure $\mathcal{A}$ for $F$.

Corollary from the theorem of Gilmore
The set of unsatisfiable formulas of predicate logic is semi-decidable.

Corollary
The set of valid formulas of predicate logic is semi-decidable.
Proof: $F$ is valid if and only if $\neg F$ is unsatisfiable.

## Undecidability of predicate logic

In the next few hours, we will prove the following important theorem:
Church's theorem
The set of valid formulas of predicate logic is undecidable.

Corollary
The set of satisfiable formulas of predicate logic is not semi-decidable.
Proof: The set of unsatisfiable formulas is semi-decidable.
If the set of satisfiable formulas would be semi-decidable too, then it would be decidable.

Hence, the set of unsatisfiable formula and therefore also the set of valid formulas would be decidable.

## Register machines

We prove Church's theorem by a reduction to the halting problem for register machine programs.

Let $R_{1}, R_{2}, \ldots$ be names for registers.
Intuition: Every register stores a natural number.
A register machine program (RMP for short) $P$ is a sequence of instructions $A_{1} ; A_{2} ; \ldots ; A_{l}$, where $A_{l}$ is the STOP instruction, and for all $1 \leq i \leq I-1$ the instruction $A_{i}$ has one of the following forms:

- $R_{j}:=R_{j}+1$ for a $1 \leq j \leq 1$
- $R_{j}:=R_{j}-1$ for a $1 \leq j \leq 1$
- IF $R_{j}=0$ THEN $k_{1}$ ELSE $k_{2}$ for $1 \leq j, k_{1}, k_{2} \leq I$,

A configuration of $P$ is a tuple $\left(i, n_{1}, \ldots, n_{l}\right) \in \mathbb{N}^{I+1}$ with $1 \leq i \leq I$.
Intuition: $i$ is the index of the instruction that will be executed next and $n_{j}$ is the current content of register $R_{j}$.

## Register machines

For configurations ( $i, n_{1}, \ldots, n_{l}$ ) und ( $i^{\prime}, n_{1}^{\prime}, \ldots, n_{l}^{\prime}$ ) we write

$$
\left(i, n_{1}, \ldots, n_{l}\right) \rightarrow_{P}\left(i^{\prime}, n_{1}^{\prime}, \ldots, n_{l}^{\prime}\right)
$$

if and only if $1 \leq i \leq I-1$ and one of the following cases holds:

- $A_{i}=\left(R_{j}:=R_{j}+1\right)$ for a $1 \leq j \leq I, i^{\prime}=i+1, n_{j}^{\prime}=n_{j}+1, n_{k}^{\prime}=n_{k}$ for $k \neq j$.
- $A_{i}=\left(R_{j}:=R_{j}-1\right)$ for a $1 \leq j \leq I, i^{\prime}=i+1, n_{j}=n_{j}^{\prime}=0$ or $\left(n_{j}>0, n_{j}^{\prime}=n_{j}-1\right)$, and $n_{k}^{\prime}=n_{k}$ for $k \neq j$.
- $A_{i}=\left(\right.$ IF $R_{j}=0$ THEN $k_{1}$ ELSE $k_{2}$ ) for a $1 \leq j, k_{1}, k_{2} \leq I, n_{k}^{\prime}=n_{k}$ for all $1 \leq k \leq I, i^{\prime}=k_{1}$ if $n_{j}=0, i^{\prime}=k_{2}$ if $n_{j}>0$.

We define
HALT $=\left\{P \mid P=A_{1} ; A_{2} ; \ldots ; A_{l}\right.$ is an RMP with $l$ instructions, $(1,0, \ldots, 0) \rightarrow_{P}^{*}\left(I, n_{1}, \ldots, n_{l}\right)$ for $\left.n_{1}, \ldots, n_{l} \geq 0\right\}$

## Proof of Church's theorem

Register machine programs exactly correspond to the GOTO-programs from the GTI course.

There, we proved that Turing machines can be simulated by GOTO-programs (and vice versa).

Since the halting problem is undecidable for Turing machines started on the empty tape (Does a Turing machine, when started with blanks on the input tape, finally terminate?), we get:

Undecidability of the halting problem for RMPs
The set HALT is undecidable.
Remark: HALT is semi-decidable: Simulate the given RMP on the initial configuration $(1,0, \ldots, 0)$ and stop, if the RMP arrives at the STOP-instruction.

## Proof of Church's theorem

We prove Church's theorem, by constructing from a given RMP $P$ a sentence $F_{P}$ of predicate logic (formula without free variables) such that:

$$
F_{P} \text { is valid } \Longleftrightarrow P \in \mathrm{HALT}
$$

Let $P=A_{1} ; A_{2} ; \ldots ; A_{l}$ be an RMP.
We fix the following symbols:

- < : binary predicate symbol
- c: constant
- $f, g$ : unary function symbol
- $R:(I+2)$-ary predicate symbol


## Proof of Church's theorem

We define a structure $\mathcal{A}_{P}$ by the following case distinction:
Case 1: $P \notin$ HALT:

- universe $U_{\mathcal{A}_{P}}=\mathbb{N}$
- $<^{\mathcal{A}_{P}}=\{(n, m) \mid n<m\}$ (the ordinary linear order on $\mathbb{N}$ )
- $c^{\mathcal{A}_{P}}=0$
- $f^{\mathcal{A}_{P}}(n)=n+1, g^{\mathcal{A}_{P}}(n+1)=n, g^{\mathcal{A}_{P}}(0)=0$
- $R^{\mathcal{A}_{P}}=\left\{\left(s, i, n_{1}, \ldots, n_{l}\right) \mid(1,0, \ldots, 0) \rightarrow_{P}^{s}\left(i, n_{1}, \ldots, n_{l}\right)\right\}$

Case 2: $P \in$ HALT:
Let $t$ be such that $(1,0, \ldots, 0) \rightarrow_{P}^{t}\left(I, n_{1}, \ldots, n_{l}\right)$ and $e=\max \{t, I\}$.

- universe $U_{\mathcal{A}_{P}}=\{0,1, \ldots, e\}$
- $<^{\mathcal{A}_{P}}=\{(n, m) \mid n<m\}$ (the ordinary linear order on $\{0,1, \ldots, e\}$ )
- $c^{\mathcal{A}_{P}}=0$
- $f^{\mathcal{A}_{P}}(n)=n+1$ for $0 \leq n \leq e-1$ and $f^{\mathcal{A}_{P}}(e)=e$.
- $g^{\mathcal{A}_{P}}(n+1)=n$ for $0 \leq n \leq e-1$ and $g^{\mathcal{A}_{P}}(0)=0$.
- $R^{\mathcal{A}_{P}}=\left\{\left(s, i, n_{1}, \ldots, n_{l}\right) \mid 0 \leq s \leq t,(1,0, \ldots, 0) \rightarrow_{P}^{s}\left(i, n_{1}, \ldots, n_{l}\right)\right\}$


## Proof of Church's theorem

In the following, we use the abbreviation $\bar{m}$ for the term $f^{m}(c)$.
We define the sentence $G_{P}$ (in which the symbols $<, c, f, g$ and $R$ occur) with the following properties:
(A) $\mathcal{A}_{P} \models G_{P}$
(B) For every model $\mathcal{A}$ of $G_{P}$ the following holds:
if $(1,0, \ldots, 0) \rightarrow_{P}^{s}\left(i, n_{1}, \ldots, n_{l}\right)$, then:

$$
\mathcal{A} \models R\left(\bar{s}, \bar{i}, \overline{n_{1}}, \ldots, \overline{n_{l}}\right) \wedge \bigwedge_{q=0}^{s-1} \bar{q}<\overline{q+1} .
$$

We define

$$
G_{P}=G_{0} \wedge R(\overline{0}, \overline{1}, \overline{0}, \ldots, \overline{0}) \wedge G_{1} \wedge \cdots \wedge G_{l-1}
$$

where the sentences $G_{0}, G_{1}, \ldots, G_{l-1}$ is defined as follows (next slides):

## Proof of Church's theorem

$G_{0}$ expresses

- $<$ is a linear order with smallest element $c$,
- $x \leq f(x)$ and $g(x) \leq x$ for all $x$,
- for every $x$, which is not the largest element with respect to $<, f(x)$ is the direct successor of $x$, and
- for every $x$, which is not the smallest element $c, g(x)$ is the direct predecessor of $x$.

$$
\begin{aligned}
\forall x, y, z & (\neg x<x) \wedge(x=y \vee x<y \vee y<x) \wedge((x<y \wedge y<z) \rightarrow x<z) \\
& \wedge(x=c \vee c<x) \\
& \wedge(x=f(x) \vee x<f(x)) \\
& \wedge(x=g(x) \vee g(x)<x) \\
& \wedge(\exists u(x<u) \rightarrow(x<f(x) \wedge \forall u(x<u \rightarrow(u=f(x) \vee f(x)<u)))) \\
& \wedge(\exists u(u<x) \rightarrow(g(x)<x \wedge \forall u(u<x \rightarrow(u=g(x) \vee u<g(x)))))
\end{aligned}
$$

## Proof of Church's theorem

Remark: For every model $\mathcal{A}$ of $G_{0}$ we have:

- $\mathcal{A} \models g(c)=c$
- $\mathcal{A} \models \forall x(\exists u(x<u) \rightarrow g(f(x))=x)$


## Proof of Church's theorem

$G_{i}$ for $1 \leq i \leq I-1$ describes the effect of the instruction $A_{i}$.
Case 1: $A_{i}=\left(R_{j}:=R_{j}+1\right)$. Let

$$
\begin{aligned}
G_{i}=\forall & x \forall x_{1} \cdots \forall x_{l}\left(R\left(x, \bar{i}, x_{1}, \ldots, x_{l}\right) \rightarrow\right. \\
& \left.\left(x<f(x) \wedge R\left(f(x), \overline{i+1}, x_{1}, \ldots, x_{j-1}, f\left(x_{j}\right), x_{j+1}, \ldots, x_{l}\right)\right)\right)
\end{aligned}
$$

Case 2: $A_{i}=\left(R_{j}:=R_{j}-1\right)$. Let

$$
\begin{aligned}
G_{i}=\forall & \forall \forall x_{1} \cdots \forall x_{l}\left(R\left(x, \bar{i}, x_{1}, \ldots, x_{l}\right) \rightarrow\right. \\
& \left.\left(x<f(x) \wedge R\left(f(x), \overline{i+1}, x_{1}, \ldots, x_{j-1}, g\left(x_{j}\right), x_{j+1}, \ldots, x_{l}\right)\right)\right)
\end{aligned}
$$

## Proof of Church's theorem

Case 3: $A_{i}=\left(\right.$ IF $R_{j}=0$ THEN $k_{1}$ ELSE $k_{2}$ ) for $1 \leq j, k_{1}, k_{2} \leq I$. Let

$$
\left.\left.\left.\left.\begin{array}{c}
G_{i}=\forall x \forall x_{1} \cdots \forall x_{l}\left(R\left(x, \bar{i}, x_{1}, \ldots, x_{l}\right) \rightarrow(x<f(x) \wedge\right. \\
\left(x_{j}=c \wedge R\left(f(x), \overline{k_{1}}, x_{1}, \ldots, x_{l}\right)\right) \vee \\
\left(x_{j}>c\right.
\end{array}\right) \wedge R\left(f(x), \overline{k_{2}}, x_{1}, \ldots, x_{l}\right)\right)\right)\right)
$$

Statement (A) follows immediately from the definition of $\mathcal{A}_{P}$ and $G_{P}$.
Property (B) is shown by induction on $s$.
Base case: $s=0$. Assume that $(1,0, \ldots, 0) \rightarrow_{P}^{0}\left(i, n_{1}, \ldots, n_{l}\right)$, i.e., $i=1$ and $n_{1}=n_{2}=\cdots=n_{l}=0$.
$\mathcal{A} \models G_{P}$ implies $\mathcal{A} \models R(\overline{0}, \overline{1}, \overline{0}, \ldots, \overline{0})$, i.e., $\mathcal{A} \models R\left(\bar{s}, \bar{i}, \overline{n_{1}}, \ldots, \overline{n_{l}}\right)$.

## Proof of Church's theorem

Induction step: Let $s>0$ and assume that $(\mathrm{B})$ holds for $s-1$.
Let $(1,0, \ldots, 0) \rightarrow_{P}^{s}\left(i, n_{1}, \ldots, n_{l}\right)$.
Then, there exist $j, m_{1}, \ldots, m_{l}$ with

$$
(1,0, \ldots, 0) \rightarrow_{P}^{s-1}\left(j, m_{1}, \ldots, m_{l}\right) \rightarrow_{P}\left(i, n_{1}, \ldots, n_{l}\right) .
$$

The induction hypothesis implies

$$
\mathcal{A} \models R\left(\overline{s-1}, \bar{j}, \overline{m_{1}}, \ldots, \overline{m_{l}}\right) \wedge \bigwedge_{q=0}^{s-2} \bar{q}<\overline{q+1} .
$$

We make a case distinction concerning the instruction $A_{j}$. We only consider the case that $A_{j}$ has the form $R_{k}:=R_{k}-1$.

Thus, $i=j+1, n_{1}=m_{1}, \ldots, n_{k-1}=m_{k-1}, n_{k+1}=m_{k+1}, \ldots, n_{l}=m_{l}$, ( $n_{k}=m_{k}=0$ or $m_{k}>0$ and $n_{k}=m_{k}-1$ ).

## Proof of Church's theorem

$\mathcal{A} \models G_{j}$ implies
$\mathcal{A} \models \forall y, y_{1}, \ldots, y_{l}\left(R\left(y, \bar{j}, y_{1}, \ldots, y_{l}\right) \rightarrow\right.$

$$
\left.\left(y<f(y) \wedge R\left(f(y), \overline{j+1}, y_{1}, \ldots, y_{k-1}, g\left(y_{k}\right), y_{k+1}, \ldots, y_{l}\right)\right)\right) .
$$

Since $\mathcal{A} \vDash R\left(\overline{s-1}, \bar{j}, \overline{m_{1}}, \ldots, \overline{m_{l}}\right)$, we get

$$
\begin{aligned}
\mathcal{A} \vDash & \overline{s-1}<f(\overline{s-1}) \wedge \\
& R\left(f(\overline{s-1}), \overline{j+1}, \overline{m_{1}}, \ldots, \overline{m_{k-1}}, g\left(\overline{m_{k}}\right), \overline{m_{k+1}}, \ldots, \overline{m_{l}}\right),
\end{aligned}
$$

i.e.,

$$
\mathcal{A} \vDash \overline{s-1}<\bar{s} \wedge R\left(\bar{s}, \bar{i}, \overline{n_{1}}, \ldots, \overline{n_{k-1}}, g\left(\overline{m_{k}}\right), \overline{n_{k+1}}, \ldots, \overline{n_{l}}\right) .
$$

## Proof of Church's theorem

From $\mathcal{A} \models \overline{s-1}<\bar{s}$ we get

$$
\mathcal{A} \models \bigwedge_{q=0}^{s-1} \bar{q}<\overline{q+1} .
$$

Moreover, $\mathcal{A} \models G_{0}$ implies $\mathcal{A} \models g\left(\overline{m_{k}}\right)=\overline{n_{k}}$.
Thus, we have $\mathcal{A} \vDash R\left(\bar{s}, \bar{i}, \overline{n_{1}}, \ldots, \overline{n_{l}}\right)$.
We proved (A) and (B).

## Proof of Church's theorem:

Let $F_{P}=\left(G_{P} \rightarrow \exists x \exists x_{1} \cdots \exists x_{l} R\left(x, \bar{l}, x_{1}, \ldots, x_{l}\right)\right)$
Claim: $F_{P}$ is valid $\Longleftrightarrow P \in$ HALT.

## Proof of Church's theorem

If $F_{P}$ is valid, then $\mathcal{A}_{P} \models F_{P}$.
From (A) we get $\mathcal{A}_{P} \models \exists x \exists x_{1} \cdots \exists x_{l} R\left(x, \bar{I}, x_{1}, \ldots, x_{l}\right)$.
Thus, there exist $s, n_{1}, \ldots, n_{l} \geq 0$ with $\left(s, l, n_{1}, \ldots, n_{l}\right) \in R^{\mathcal{A}_{P}}$.
We get $P \in$ HALT.
Now assume that $P \in \operatorname{HALT}$ and $(1,0, \ldots, 0) \rightarrow_{P}^{s}\left(I, n_{1}, \ldots, n_{l}\right)$.
Let $\mathcal{A}$ be a structure with $\mathcal{A} \models G_{p}$.
From (B) we get $\mathcal{A} \models R\left(\bar{s}, \bar{l}, \overline{n_{1}}, \ldots, \overline{n_{l}}\right)$.
Thus, $F_{P}$ valid.

## Trachtenbrot's theorem

A formula $F$ is finitely satisfiable if and only if $F$ has a finite model (a model with a finite universe), otherwise, $F$ is finitely unsatisfiable.

Lemma
The set of finitely satisfiable formulas is semi-decidable.

## Proof:

Let $\mathcal{A}_{1}, \mathcal{A}_{2}, \mathcal{A}_{3}, \ldots$ be a systematic enumeration of all finite structures in which only the finitely many predicate symbols and function symbols that appear in $F$ are interpreted.

The following algorithm terminates if and only if $F$ is finitely satisfiable:
$i:=1$;
while true do
if $\mathcal{A}_{i} \models F$ then STOP else $i:=i+1$
end

## Trachtenbrot's theorem

A formula $F$ is finitely valid if and only if every finite structure that is suitable for $F$ is a model of $F$.

Example: The formula

$$
\forall x \forall y(f(x)=f(y) \rightarrow x=y) \leftrightarrow \forall y \exists x(f(x)=y)
$$

is not valid but finitely valid.

## Trachtenbrot's theorem

The set of finitely satisfiable formulas is undecidable.
Corollary
The set of finitely unsatisfiable formulas and the set of finitely valid formulas are not semi-decidable.

## Trachtenbrot's theorem

## Proof of Trachtenbrot's theorem:

We reuse the construction from the proof of Church's theorem.
Claim: $G_{P}$ is finitely satisfiable $\Longleftrightarrow P \in$ HALT.
(1) Assume that $P \in$ HALT.

Then, $\mathcal{A}_{P}$ is finite and $(\mathrm{A})$ implies $\mathcal{A}_{P} \models G_{P}$.
Hence, $G_{P}$ is finitely satisfiable.

## Trachtenbrot's theorem

(2) Assume that $G_{P}$ is finitely satisfiable.

Let $\mathcal{A}$ be a finite structure with $\mathcal{A} \models G_{P}$.
Assume that $P \notin$ HALT.
Then, for every $s \geq 0$ there exist $i, n_{1}, \ldots, n_{l}$ with $(1,0, \ldots, 0) \rightarrow_{P}^{s}\left(i, n_{1}, \ldots, n_{l}\right)$.
(B) implies $\mathcal{A} \models \bar{i}<\overline{i+1}$ for all $i \geq 0$.

Since $<^{\mathcal{A}}$ is a linear order (since $\mathcal{A} \models G_{0}$ ) the set $\{\mathcal{A}(\bar{i}) \mid i \geq 0\}$ is infinite, which is a contradiction.

## (Un)decidable theories

Let $\mathcal{A}$ be a structure, where the domain of the interpretation function $I_{\mathcal{A}}$ is finite and does not contain any variables.

Let $f_{1}, \ldots, f_{n}, R_{1}, \ldots, R_{m}$ be the domain of $I_{\mathcal{A}}$.
We identify $\mathcal{A}$ with the tuple $\left(U^{\mathcal{A}}, f_{1}^{\mathcal{A}}, \ldots, f_{n}^{\mathcal{A}}, R_{1}^{\mathcal{A}}, \ldots, R_{m}^{\mathcal{A}}\right)$, for which we also write $\left(U^{\mathcal{A}}, f_{1}, \ldots, f_{n}, R_{1}, \ldots, R_{m}\right)$.

## Definition

The theorie of $\mathcal{A}$ is the set of formulas
$\operatorname{Th}(\mathcal{A})=\{F \mid F$ is a sentence, $\mathcal{A}$ is suitable for $F, \mathcal{A} \models F\}$.

We are interested in the question, whether a structure has a decidable theory.

## (Un)decidable theories

Theorem
Let $\mathcal{A}$ be a structure. Then $\operatorname{Th}(\mathcal{A})$ is decidable if and only if $\operatorname{Th}(\mathcal{A})$ is semi-decidable.

Proof: Let $\operatorname{Th}(\mathcal{A})$ be semi-decidable and let $F$ be a suitable sentence. We either have $F \in \operatorname{Th}(\mathcal{A})$ or $\neg F \in \operatorname{Th}(\mathcal{A})$.

Hence, we can run in parallel a semi-decision procedure for $\operatorname{Th}(\mathcal{A})$ on input $F$ and $\neg F$.

For either $F$ or $\neg F$ the algorithm has to terminate.

## (Un)decidable theories

For the question, whether a structure has a decidable theory, we can restrict to so called relational structures.

A structure $\mathcal{A}=\left(A, f_{1}, \ldots, f_{n}, R_{1}, \ldots, R_{m}\right)$ is relational, if $n=0$.
For a structure $\mathcal{A}=\left(A, f_{1}, \ldots, f_{n}, R_{1}, \ldots, R_{m}\right)$ we define

$$
\mathcal{A}_{\text {rel }}=\left(A, P_{1}, \ldots, P_{n}, R_{1}, \ldots, R_{m}\right)
$$

where

$$
P_{i}=\left\{\left(a_{1}, \ldots, a_{n}, a\right) \mid f_{i}\left(a_{1}, \ldots, a_{n}\right)=a\right\} .
$$

Lemma
$\operatorname{Th}(\mathcal{A})$ is decidable if and only if $\operatorname{Th}\left(\mathcal{A}_{\text {rel }}\right)$ is decidable.
Proof: Excercise.

## Undecidability of arithmetic (following Ebbinhaus,Flum,Thomas)

Theorem (Gödel 1931)
$\operatorname{Th}(\mathbb{N},+, \cdot)$ is undecidable.
Corollary
$\operatorname{Th}(\mathbb{N},+, \cdot)$ is not semi-decidable, i.e., not recursively enumerable.
For the proof we reduce the set HALT of terminating RMPs to $\operatorname{Th}(\mathbb{N},+, \cdot)$.
In order to simplify the technical details of the proof, we consider $\operatorname{Th}(\mathbb{N},+, \cdot, s, 0)$ with $s(n)=n+1$.

Excercise: $\operatorname{Th}(\mathbb{N},+, \cdot, s, 0)$ is undecidable if and only if $\operatorname{Th}(\mathbb{N},+, \cdot)$ is undecidable.

## Undecidability of arithmetic

Let $P=A_{1} ; A_{2} ; \cdots ; A_{l}$ be a RMP, which contains the registers $R_{1}, \ldots, R_{l}$. We construct an arithmetical formula $F_{P}$ with the free variables $x, x_{1}, \ldots, x_{l}$, such that for all $1 \leq i \leq I$ and $n_{1}, \ldots, n_{l} \in \mathbb{N}$ the following two statements are equivalent:

- $(\mathbb{N},+, \cdot, s, 0)_{\left[x / i, x_{1} / n_{1}, \ldots, x_{l} / n_{l}\right]} \models F_{P}$
- $(1,0, \ldots, 0) \rightarrow_{P}^{*}\left(i, n_{1}, \ldots, n_{l}\right)$

It then follows: $P \in \operatorname{HALT} \Longleftrightarrow(\mathbb{N},+, \cdot, s, 0) \models \exists x_{1} \cdots \exists x_{l} F_{P}\left[x / s^{\prime}(0)\right]$.

## Undecidability of arithmetic

 Intuitively, the formula $F_{P}$ says:There exist $s \geq 0$ and configurations $C_{0}, C_{1}, \ldots, C_{s}$ such that:

- $C_{0}=(1,0, \ldots, 0)$
- $C_{s}=\left(x, x_{1}, \ldots, x_{l}\right)$
- $C_{i} \rightarrow_{p} C_{i+1}$ for all $0 \leq i \leq s-1$

We can encode the $(I+1)$-tuple $C_{0}, C_{1}, \ldots, C_{s}$ by a single $(s+1)(I+1)$-tuple and have to express the following, where $k=I+1$ :

There are $s \geq 0$ and a tuple $\left(y_{0}, y_{1}, \ldots, y_{k-1}, y_{k}, y_{k+1}, \ldots, y_{2 k-1}, \ldots, y_{s k}, y_{s k+1}, \ldots, y_{(s+1) k-1}\right)$ with:

- $y_{0}=1, y_{1}=0, \ldots, y_{k-1}=0$
- $y_{s k}=x, y_{s k+1}=x_{1}, \ldots, y_{(s+1) k-1}=x_{l}$
- $\left(y_{i k}, \ldots, y_{(i+1) k-1}\right) \rightarrow_{P}\left(y_{(i+1) k}, \ldots, y_{(i+2) k-1}\right)$ for all $0 \leq i \leq s-1$


## Undecidability of arithmetic

If one wants to express this directly by an arithmetical formula, then one faces the problem that one cannot quantify over sequences of numbers ( $\exists y \exists x_{1} \cdots \exists x_{y}$ is not allowed).

In order to simulate quantification over sequences of numbers (of arbitrary length) by quantification over numbers, we use Gödel's $\beta$-function.

## Lemma

There is a function $\beta: \mathbb{N}^{3} \rightarrow \mathbb{N}$ such that:

- For every sequence $\left(a_{0}, \ldots, a_{r}\right)$ over $\mathbb{N}$ there are $t, p \in \mathbb{N}$ such that $\beta(t, p, i)=a_{i}$ for all $0 \leq i \leq r$.
- There is an arithmetical formula $B$ with free variables $v, x, y, z$ such that for all $t, p, i, a \in \mathbb{N}$ the following holds:

$$
(\mathbb{N},+, \cdot, s, 0)_{[v / t, x / p, y / i, z / a]} \models B \Longleftrightarrow \beta(t, p, i)=a
$$

In other words: $\beta$ is arithmetically definable.

## Undecidability of arithmetic Proof of the lemma:

Let $\left(a_{0}, \ldots, a_{r}\right)$ be a sequence over $\mathbb{N}$.
Let $p$ be a prime number such that $p>r+1$ and $p>a_{i}$ for all $i$.
Moreover let
$t=1 p^{0}+a_{0} p^{1}+2 p^{2}+a_{1} p^{3}+\cdots+(i+1) p^{2 i}+a_{i} p^{2 i+1}+\cdots+(r+1) p^{2 r}+a_{r} p^{2 r+1}$.
Thus, $\left(1, a_{0}, 2, a_{1}, \ldots,(i+1), a_{i}, \ldots,(r+1), a_{r}\right)$ is the base- $p$ representation of $t$.

Claim: For all $a \in \mathbb{N}$ and all $0 \leq i \leq r$ we have $a=a_{i}$ if and only if there are $b_{0}, b_{1}, b_{2} \in \mathbb{N}$ with:
(a) $t=b_{0}+b_{1}\left((i+1)+a p+b_{2} p^{2}\right)$
(b) $a<p$
(c) $b_{0}<b_{1}$
(d) There is an $m$ with $b_{1}=p^{2 m}$.

## Undecidability of arithmetic

$\Rightarrow$ : If $a=a_{i}$, then we can choose $b_{0}, b_{1}, b_{2}$ as follows:

$$
\begin{aligned}
& b_{0}=1 p^{0}+a_{0} p^{1}+2 p^{2}+a_{1} p^{3}+\cdots+i p^{2 i-2}+a_{i-1} p^{2 i-1} \\
& b_{1}=p^{2 i} \\
& b_{2}=(i+2)+a_{i+1} p+\cdots+a_{r} p^{2(r-i)-1}
\end{aligned}
$$

$\Leftarrow$ : Assume that (a)-(d) hold, i.e.,

$$
\begin{aligned}
t & =b_{0}+b_{1}\left((i+1)+a p+b_{2} p^{2}\right) \\
& =b_{0}+(i+1) p^{2 m}+a p^{2 m+1}+p^{2 m+2} b_{2}
\end{aligned}
$$

where $b_{0}<b_{1}=p^{2 m}, a<p$ and $(i+1)<p$.
Comparing this with
$t=1 p^{0}+a_{0} p^{1}+2 p^{2}+a_{1} p^{3}+\cdots+(i+1) p^{2 i}+a_{i} p^{2 i+1}+\cdots+(r+1) p^{2 r}+a_{r} p^{2 r+1}$
yields $m=i$ and $a=a_{i}$.

## Undecidability of arithmetic

Since $p$ is a prime number, (d) is equivalent to: $b_{1}$ is a square and $p \mid d$ for all $d \geq 2$ with $d \mid b_{1}$.

For all $t, p, i \in \mathbb{N}$ we define $\beta(t, p, i)$ as the smallest number $a$ such that $b_{0}, b_{1}, b_{2} \in \mathbb{N}$ exist with:
(a) $t=b_{0}+b_{1}\left((i+1)+a p+b_{2} p^{2}\right)$,
(b) $a<p$,
(c) $b_{0}<b_{1}$,
(d) $b_{1}$ is a square and $p \mid d$ for all $d \geq 2$ with $d \mid b_{1}$.

If such numbers $b_{0}, b_{1}, b_{2} \in \mathbb{N}$ do not exist, then we set $\beta(t, p, i)=0$.
From the above claim we get: For every sequence $\left(a_{0}, \ldots, a_{r}\right)$ over $\mathbb{N}$ there are $t, p \in \mathbb{N}$ such that $\beta(t, p, i)=a_{i}$ for all $0 \leq i \leq r$.

Moreover, it is clear that $\beta$ is arithmetically definable.

