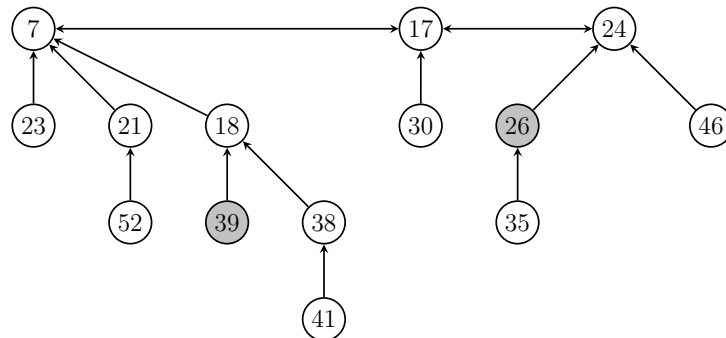


## Exercise 7

### Task 1

Given the following Fibonacci heap:

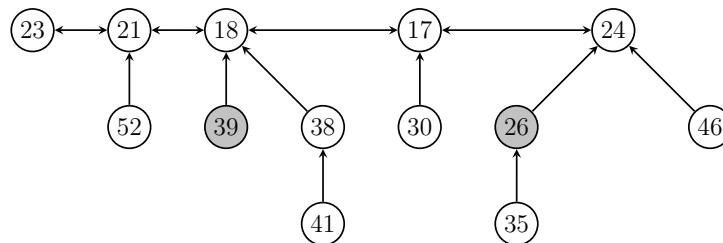


Perform the following operations in that order:

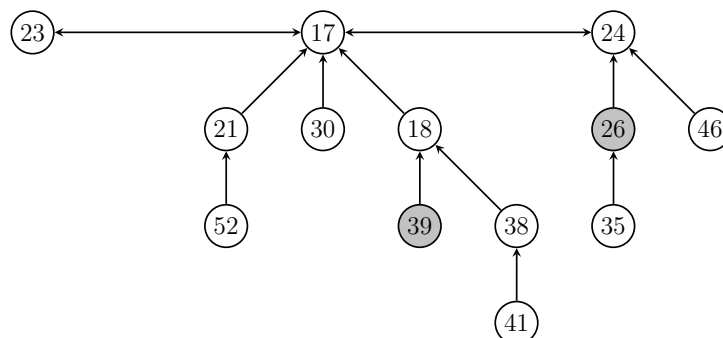
**delete-min**, **decrease-key**("52", 9), **decrease-key**("46", 3), **insert**(42), **delete-min**, **decrease-key**("35", 7)

### Solution

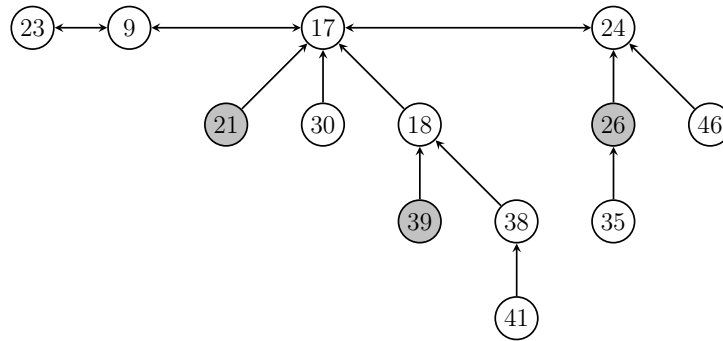
1. **delete-min**: The node with key 7 gets deleted.



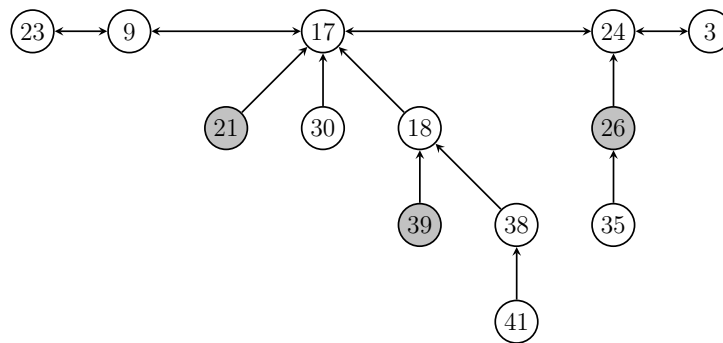
And we tidy the forest a bit.



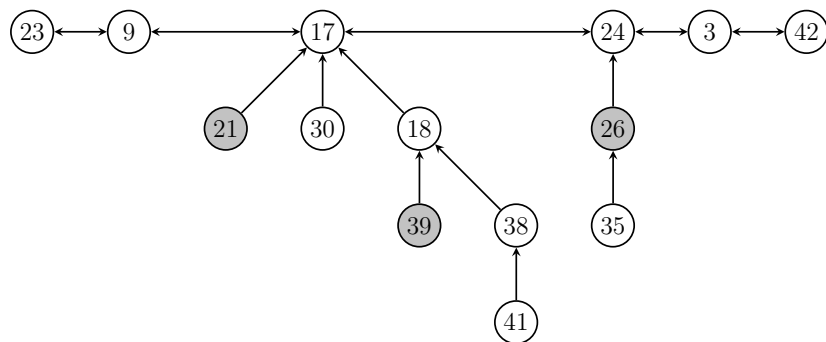
2. **decrease-key**("52", 9): 9 moves up, 21 gets marked.



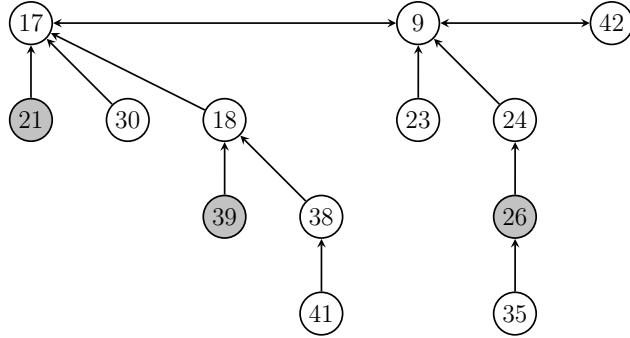
3. **decrease-key**("46", 3): 3 moves up, 24 cannot be marked.



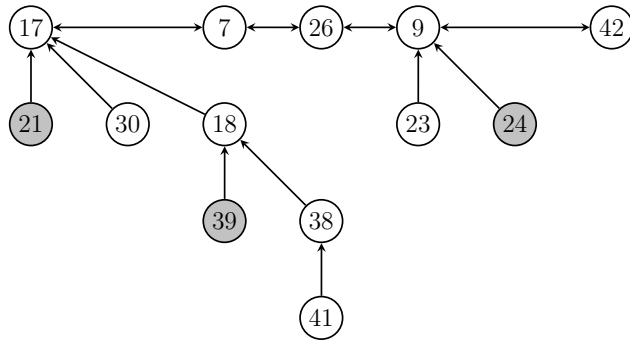
4. **insert**(42): Inserting 42 as a new tree.



5. **delete-min**: Node with key 3 gets deleted and we tidy the forest.



6. **decrease-key**("35", 7): 7 moves up and 26 as well, since it is marked (but loses its mark). 24 gets marked.



## Task 2

Find the optimal order to compute the following product (only the dimensions of the matrices are given):

$$(2 \times 4) \cdot (4 \times 6) \cdot (6 \times 1) \cdot (1 \times 10) \cdot (10 \times 10)$$

## Solution

We compute the number of multiplications of the product  $A_1A_2A_3A_4A_5$  by dynamic programming.

Matrix products of length 2:  $2 \cdot 4 \cdot 6 = 48$  |  $4 \cdot 6 = 24$  |  $6 \cdot 10 = 60$  |  $10 \cdot 10 = 100$

Matrix products of length 3 (2 + 1 or 1 + 2):

$\min(48 + 12, 24 + 8) = 32$ , hence  $A_1(A_2A_3)$  is optimal |  $\min(24 + 40, 60 + 240) = 64$ , hence  $(A_2A_3)A_4$  is optimal |  $\min(60 + 600, 100 + 60) = 160$ , hence  $A_3(A_4A_5)$  is optimal

Matrix products of length 4 (3 + 1 or 2 + 2 or 1 + 3):

$\min(32 + 20, 48 + 60 + 120, 64 + 80) = 52$ , hence  $(A_1(A_2A_3))A_4$  is optimal |  $\min(64 + 400, 24 + 100 + 40, 160 + 240) = 164$ , hence  $(A_2A_3)(A_4A_5)$  is optimal

Matrix product of length 5 (4 + 1 or 3 + 2 or 2 + 3 or 1 + 4):

$\min(52 + 200, 32 + 100 + 20, 48 + 160 + 120, 164 + 80) = 152$

Hence, to compute the product  $A_1A_2A_3A_4A_5$ , it is the best to compute it via the bracketing  $(A_1(A_2A_3))(A_4A_5)$ , which takes 152 multiplications.

We can also encode these values in two tables. The table cost consists of the optimal number of multiplications for each subproblem, where entry  $(i, j)$  is representing the cost of the product  $A_i \cdots A_j$  and  $\text{best}[i, j]$  is the optimal cut-point for the bracketing:

$i \setminus j$	1	2	3	4	5
1	0	48	32	52	152
2	-	0	24	64	164
3	-	-	0	60	160
4	-	-	-	0	100
5	-	-	-	-	0

$\text{cost}[i, j]$

$i \setminus j$	1	2	3	4	5
1	-	1	1	3	3
2	-	-	2	3	3
3	-	-	-	3	3
4	-	-	-	-	4
5	-	-	-	-	-

$\text{best}[i, j]$

### Task 3

Let  $X = (x_1, \dots, x_m)$  and  $Y = (y_1, \dots, y_n)$  be two sequences. We say  $X$  is a *subsequence* of  $Y$  if there are indices  $1 \leq i_1 < i_2 < \dots < i_m \leq n$  such that for all  $1 \leq j \leq m$  it holds that  $x_j = y_{i_j}$ .

Use dynamic programming to implement an algorithm that runs in polynomial time which, given two sequences  $X$  and  $Y$ , computes the length of the longest common subsequence of  $X$  and  $Y$ .

### Solution

Let  $c[i, j]$  be the length of a LCS of  $(x_1, \dots, x_i)$  and  $(y_1, \dots, y_j)$ . We have

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i - 1, j], c[i, j - 1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases}$$

We initiate the table with 0 at position  $c[i, j]$ , where  $i$  or  $j$  is 0. Wlog. let  $n < m$ . In the first step, we compute  $c[i, 1]$  for  $i = 1, \dots, n$  and  $c[1, j]$  for  $j = 1, \dots, m$ . In step  $k$  we compute  $c[i, k]$  for  $i = k, \dots, n$  and  $c[k, j]$  for  $j = k, \dots, m$ . After  $\min(n, m) = n$  steps we filled in exactly the whole table and we know the value  $c[n, m]$ . The algorithm works in time  $\mathcal{O}(n \cdot m) \subseteq \mathcal{O}(m^2)$ .

Example:  $X = (1, 2, 4), Y = (2, 3, 4, 6)$ . The goal is the value  $c[3, 4]$ .

$i \setminus j$	0	1	2	3	4
0	0	0	0	0	0
1	0	0	0	0	0
2	0	1	1	1	1
3	0	1	1	2	2

Since  $3 < 4$ , we can also just fill in the table row by row.

**Task 4**

Construct an optimal binary search tree for the following elements  $v$  with probability (weight)  $\gamma(v)$ .

$v$	1	2	3	4	5	6
$\gamma(v)$	0.25	0.1	0.2	0.15	0.25	0.05

**Solution**

To compute a BST with smallest weighted inner path length, we use dynamic programming. Let  $\text{cost}[i, j]$  be the weighted inner path length of the optimal BST for the node set  $\{i, \dots, j\}$  with root  $r[i, j]$ .

$i \setminus j$	1	2	3	4	5	6	$i \setminus j$	1	2	3	4	5	6
1	0.25	0.45	0.95	1.3	1.95	2.1	1	1	1	3	3	3	3
2	0	0.1	0.4	0.7	1.35	1.5	2	-	2	3	3	4	5
3	-	0	0.2	0.5	1.05	1.2	3	-	-	3	3	4	5
4	-	-	0	0.15	0.55	0.65	4	-	-	-	4	5	5
5	-	-	-	0	0.25	0.35	5	-	-	-	-	5	5
6	-	-	-	-	0	0.05	6	-	-	-	-	-	6
	$\text{cost}[i, j]$							$r[i, j]$					

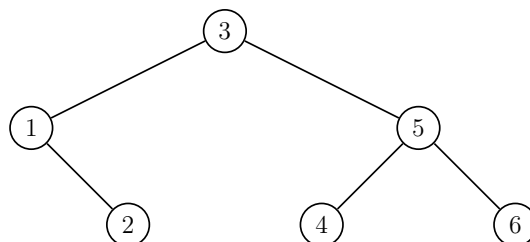
Initialize  $\text{cost}[i, i - 1] = 0$ ,  $\text{cost}[i, i] = \gamma(i)$  and  $r[i, i] = i$ . In the next step, the node with the highest weight (probability) has to be the root node, hence we can fill in the tables at position  $(i, i + 1)$ . The trick in the following steps is now to pick a root, where the sum of the optimal costs of the BST for the left and the right subtree plus the total weight  $\Gamma[i, j] = \gamma(i) + \dots + \gamma(j)$  is minimal (for the minimization we can ignore  $\Gamma$  of course). Among the optimal roots, we pick the one with the largest key (by convention).

BST of size 3: For instance  $(3, 5)$ ;  $0.55 + 0.6$  (3) vs.  $0.2 + 0.25 + 0.6$  (4) vs.  $0.5 + 0.6$  (5). Hence, node 4 is at the top. For the other 3 values  $((1, 3), (3, 5)$  and  $(4, 6))$ , we do the same. In the following examples we ignore  $\Gamma[i, j]$ .

BST of size 4: For instance  $(2, 5)$ ;  $1.15$  (2) vs.  $0.1 + 0.55$  (3) vs.  $0.4 + 0.25$  (4) vs.  $0.7$  (5). Hence, node 4 is at the top (root 3 and 4 are equally good, but we choose 4 as convention tells us). The values  $(1, 4)$  and  $(3, 6)$  are obtained similarly.

We skip the example for BSTs of size 5 and jump directly to size 6.

We have  $1.5$  (1) vs.  $1.45$  (2) vs.  $1.1$  (3) vs.  $1.3$  (4) vs.  $1.35$  (5) vs.  $1.95$  (6). Clearly node 3 wins. The optimal BST has weighted inner path length of  $1.1 + 1 = 2.1$  and looks like this:



**Task 5**

Assume we want to construct an optimal binary search tree using the following greedy algorithm: Choose an element  $v$  for which  $\gamma(v)$  is maximal as the root node and then continue recursively. Show that this approach does not always yield an optimal binary search tree.

**Solution**

Choose  $\gamma_1 = \frac{1}{3} - \varepsilon$ ,  $\gamma_2 = \frac{1}{3}$  and  $\gamma_3 = \frac{1}{3} + \varepsilon$ . The greedy algorithm yields a chain  $(3 - 2 - 1)$  with a weighted inner path length of

$$\left(\frac{1}{3} + \varepsilon\right) \cdot 1 + \frac{1}{3} \cdot 2 + \left(\frac{1}{3} - \varepsilon\right) \cdot 3 = 2 - 2\varepsilon.$$

It is better to take the tree with root  $v = 2$  (and left child 1, right child 3). We obtain a weighted inner path length of

$$\frac{1}{3} \cdot 1 + \left(\frac{1}{3} - \varepsilon + \frac{1}{3} + \varepsilon\right) \cdot 2 = \frac{5}{3} < 2 - 2\varepsilon$$

for all  $0 < \varepsilon < \frac{1}{6}$ .