

# 1 Knapsack and the power word problem in solvable 2 Baumslag-Solitar groups

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## 9 Abstract

10 We prove that the power word problem for the solvable Baumslag-Solitar groups  $BS(1, q) = \langle a, t \mid$   
11  $tat^{-1} = a^q \rangle$  can be solved in  $TC^0$ . In the power word problem, the input consists of group elements  
12  $g_1, \dots, g_d$  and binary encoded integers  $n_1, \dots, n_d$  and it is asked whether  $g_1^{n_1} \cdots g_d^{n_d} = 1$  holds.  
13 Moreover, we prove that the knapsack problem for  $BS(1, q)$  is NP-complete. In the knapsack problem,  
14 the input consists of group elements  $g_1, \dots, g_d, h$  and it is asked whether the equation  $g_1^{x_1} \cdots g_d^{x_d} = h$   
15 has a solution in  $\mathbb{N}^d$ .

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## 22 1 Introduction

23 **The power word problem** The study of multiplicative identities and equations has a long  
24 tradition in computational algebra, and has recently been extended to the non-abelian case.  
25 Here, the multiplicative identities we have in mind have the form  $g_1^{n_1} g_2^{n_2} \cdots g_d^{n_d} = 1$ , where  
26  $g_1, \dots, g_d$  are elements of a group  $G$  and  $n_1, n_2, \dots, n_d \in \mathbb{N}$  are non-negative integers (we  
27 may also allow negative  $n_i$ , but this makes no difference, since we can replace a  $g_i$  by its  
28 inverse  $g_i^{-1}$ ). Typically, the numbers  $n_i$  are given in binary representation, whereas the  
29 representation of the group elements  $g_i$  depends on the underlying group  $G$ . Here, we  
30 consider the case where  $G$  is a finitely generated (f.g. for short) group, and elements of  $G$   
31 are represented by finite words over a fixed generating set  $\Sigma$  (the concrete choice of  $\Sigma$  is  
32 not relevant). In this setting, the question whether  $g_1^{n_1} g_2^{n_2} \cdots g_d^{n_d} = 1$  is a true identity has  
33 been recently introduced as the *power word problem* for  $G$  [27]. It extends the classical word  
34 problem for  $G$  (does a given word over the group generators represent the group identity?)  
35 in the sense that the word problem trivially reduces to the power word problem (take an  
36 identity  $w^1 = 1$ ). Recent results on the power word problem in specific f.g. groups are:

- 37 ■ For every f.g. free group the power word problem belongs to deterministic logspace [27].
- 38 ■ For the following groups the power word problem belongs to the circuit complexity class  
39  $TC^0$ :<sup>1</sup> f.g. nilpotent groups [27], iterated wreath products of f.g. free abelian groups and  
40 (as a consequence of the latter) free solvable groups [11].

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<sup>1</sup> In this paper,  $TC^0$  always refers to the DLOGTIME-uniform version.



41 ■ If  $G$  is a so-called uniformly efficiently non-solvable group (this is a large class of non-  
 42 solvable groups that was recently introduced in [3] and that includes all finite non-solvable  
 43 groups and f.g. free non-abelian groups) then the power word problem for the wreath  
 44 product  $G \wr \mathbb{Z}$  is coNP-hard [11].

45 Historically, the power word problem appeared earlier in the area of computational (commut-  
 46 ative) algebra. Ge [16] proved that one can check in polynomial time whether an identity  
 47  $\alpha_1^{n_1} \alpha_2^{n_2} \cdots \alpha_d^{n_d} = 1$ , where the  $n_i$  are binary encoded integers and the  $\alpha_i$  are from an algebraic  
 48 number field (and suitable encoded), holds.

49 In this paper we investigate the power word problem for the solvable Baumslag-Solitar  
 50 group  $BS(1, q)$  for  $q \geq 2$  an integer. This group is usually defined as the finitely presented  
 51 group  $BS(1, q) = \langle a, t \mid tat^{-1} = a^q \rangle$ . It has a nice matrix representation as the group of all  
 52 matrices of the form

$$53 \quad \begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} \quad (1)$$

54 with  $k \in \mathbb{Z}$  and  $u \in \mathbb{Z}[1/q]$  a rational number with a finite  $q$ -ary expansion. Our *first*  
 55 *main result* is that the power word problem for  $BS(1, q)$  belongs to  $TC^0$ . This generalizes  
 56 a corresponding result for the word problem of  $BS(1, q)$  from [35]; see also [22, 37]. Via  
 57 the above matrix embedding our result for the power word problem for  $BS(1, q)$  is directly  
 58 related to recent results on matrix powering problems [1, 14]. These problems can be quite  
 59 difficult to analyze. For instance, it is not known whether a certain bit of the  $(0, 0)$ -entry of  
 60 a matrix power  $A^n$  can be computed in polynomial time, when  $n$  is given in binary notation  
 61 and  $A$  is a  $(2 \times 2)$ -matrix over  $\mathbb{Z}$ . The related problem of checking whether the  $(0, 0)$ -entry  
 62 (or any other entry) of  $A^n$  is positive can be solved in polynomial time by [14].

63 **The knapsack problem** If one replaces in the power word problem the exponents  $n_i$  by  
 64 pairwise different variables  $x_i$  and the right-hand side 1 by an arbitrary group element  $h \in G$ ,  
 65 one obtains a so-called knapsack equation  $g_1^{x_1} g_2^{x_2} \cdots g_d^{x_d} = h$ . The question, whether such  
 66 an equation has a solution in  $\mathbb{N}^d$  is known as the *knapsack problem* for  $G$ . In the general  
 67 context of finitely generated groups the knapsack problem has been introduced by Myasnikov,  
 68 Nikolaev, and Ushakov [33]. As for the power word problem, this problem has been studied  
 69 in the commutative setting before. For the case  $G = \mathbb{Z}$  one obtains a variant of the classical  
 70 NP-complete knapsack problem; a proof of the NP-hardness of our variant of the knapsack  
 71 problem for the integers can be found in [18]. For this hardness result it is important that  
 72 integers are represented in binary notation. For unary encoded integers the complexity of  
 73 the knapsack problem goes down to  $TC^0$ . For the case that the  $g_i$  are commuting matrices  
 74 over an algebraic number field, the knapsack problem has been studied in [2, 8].

75 For the case of (in general) non-commutative groups, the knapsack problem has been  
 76 studied in [9, 11, 13, 15, 23, 26, 29, 33]. In these papers, group elements are usually represented  
 77 by finite words over the generators (although in [29] a more succinct representation by so-  
 78 called straight-line programs is studied as well). Note that for the group  $\mathbb{Z}$  this corresponds to  
 79 a unary representation of integers. Hyperbolic groups (which are of fundamental importance  
 80 in the area of geometric group theory) are an important class of groups where knapsack can  
 81 be decided in polynomial time (and even in LogCFL). This result can be extended to the class  
 82 of all groups that can be built from hyperbolic groups by the operations of (i) direct products  
 83 with  $\mathbb{Z}$  and (ii) free products [29]. On the other hand, for many groups the knapsack problem  
 84 is NP-complete. Examples are certain right-angled Artin groups (like the direct product of  
 85 two free groups of rank two [29]), wreath products (e.g. the wreath product  $\mathbb{Z} \wr \mathbb{Z}$  [15]) and

86 free solvable groups [11]. For wreath products  $G \wr \mathbb{Z}$ , where  $G$  is finite non-solvable or free of  
 87 rank at least two, the knapsack problem is  $\Sigma_2^P$ -complete [11]. Finally, for finitely generated  
 88 nilpotent groups, the knapsack problem is in general undecidable [15, 32].

89 *Our second main result* is that for the Baumslag-Solitar groups  $BS(1, q)$  with  $q \geq 2$  the  
 90 knapsack problem is NP-complete. This extends a result from [9], where decidability (without  
 91 any complexity bound) was shown for a restriction of the knapsack problem for  $BS(1, q)$ .  
 92 In this restriction, all group elements  $g_i$  must have the form (1) with  $k \neq 0$ . Showing  
 93 NP-hardness of the knapsack problem for  $BS(1, q)$  is easy (based on the result that knapsack  
 94 for  $\mathbb{Z}$  with binary encoded integers is NP-hard). For membership in NP we use a recent result  
 95 of Guépin, Haase, and Worrell [17] according to which the existential fragment of Büchi  
 96 arithmetic (an extension of Presburger arithmetic) belongs to NP. The NP-membership of  
 97 the knapsack problem for  $BS(1, q)$  is a bit of a surprise, since one can show that minimal  
 98 solutions of knapsack equations over  $BS(1, q)$  can be of size doubly exponential in the length  
 99 of the equation, see Theorem 4.2. This rules out a simple guess-and-verify strategy.

## 100 2 Preliminaries

101 For  $a, b \in \mathbb{Z}$  we write  $a \mid b$  if  $b = ka$  for some  $k \in \mathbb{Z}$ . We denote with  $[a, b]$  the interval  
 102  $\{z \in \mathbb{Z} \mid a \leq z \leq b\}$ . With  $\mathbb{Z}[1/q]$  we denote the set of all rational numbers that have finite  
 103 expansion in base  $q$ , i.e., the set of all numbers  $\sum_{a \leq i \leq b} r_i q^i$  with  $r_i \in [0, q-1]$  and  $a, b \in \mathbb{Z}$ .  
 104 If  $u = \sum_{-k \leq i \leq \ell} r_i q^i \neq 0$  with  $k, \ell \geq 0$  and  $\ell + k$  minimal, we define  $\|u\|_q = \ell + k$ . Under the  
 105 assumption that  $q$  is a constant (which will be always the case in this paper),  $\|u\|_q$  is the  
 106 length of a suitable  $q$ -ary representation of  $u$ .

107 A *Laurent polynomial* is an ordinary polynomial that may also contain powers  $x^k$  with  
 108  $k < 0$ . Formally, a Laurent polynomial over  $\mathbb{Z}$  is an expression  $P(x) = \sum_{i \in \mathbb{Z}} a_i x^i$  with  $a_i \in \mathbb{Z}$   
 109 such that only finitely many  $a_i$  are non-zero. With  $\mathbb{Z}[x, x^{-1}]$  we denote the set of all Laurent  
 110 polynomials over  $\mathbb{Z}$ ; it is a ring with the natural addition and multiplication operations.

111 **Complexity.** We assume basic knowledge in complexity theory. We deal with the circuit  
 112 complexity class  $\text{TC}^0$ . It contains all problems that can be solved by a family of threshold  
 113 circuits of polynomial size and constant depth. In this paper,  $\text{TC}^0$  always refers to the  
 114 DLOGTIME-uniform version of  $\text{TC}^0$ . In this variant,  $\text{TC}^0$  is contained in deterministic  
 115 logspace. A precise definition of (DLOGTIME-uniform)  $\text{TC}^0$  is not needed for our work; see  
 116 [36] for details. All we need is that the following problems can be solved in  $\text{TC}^0$ :

- 117 1. iterated addition and multiplication of binary encoded numbers and polynomials [10, 19],
- 118 2. division with remainder of binary encoded numbers [19],
- 119 3. computing the number  $|w|_a$  of occurrences of a letter  $a$  in a word  $w$ ,
- 120 4. computing an image  $h(w)$  where  $h : \Sigma^* \rightarrow \Gamma^*$  is a homomorphism [24].

121 The results on binary numbers hold for any basis, since one can transform between binary  
 122 representation and  $q$ -ary representation; this is a consequence of the first two points.

123 **Groups.** We assume that the reader is familiar with the basics of group theory. Let  $G$  be a  
 124 group. We always write 1 for the group identity element. We say that  $G$  is *finitely generated*  
 125 (*f.g.*) if there is a finite subset  $\Sigma \subseteq G$  such that every element of  $G$  can be written as a  
 126 product of elements from  $\Sigma$ ; such a  $\Sigma$  is called a (*finite*) *generating set* for  $G$ . We always  
 127 assume that  $a \in \Sigma$  implies  $a^{-1} \in \Sigma$ ; such a generating set is also called *symmetric*. We write  
 128  $G = \langle \Sigma \rangle$  if  $\Sigma$  is a symmetric generating set for  $G$ . In this case, we have a canonical morphism  
 129  $h : \Sigma^* \rightarrow G$  that maps a word over  $\Sigma$  to its product in  $G$ . If  $h(w) = 1$  we also say that  $w = 1$

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130 in  $G$ . On  $\Sigma^*$  we can define a natural involution  $\cdot^{-1}$  by  $(a_1 a_2 \cdots a_n)^{-1} = a_n^{-1} \cdots a_2^{-1} a_1^{-1}$  for  
 131  $a_1, a_2, \dots, a_n \in \Sigma$ .

132 **Baumslag-Solitar groups.** For  $p, q \in \mathbb{Z} \setminus \{0\}$ , the *Baumslag-Solitar group*  $\text{BS}(p, q)$  is defined  
 133 as the finitely presented group  $\text{BS}(p, q) = \langle a, t \mid ta^p t^{-1} = a^q \rangle$ . We can w.l.o.g. assume that  
 134  $q \geq 1$ . Of particular interest are the Baumslag-Solitar groups  $\text{BS}(1, q)$  for  $q \geq 2$ . They are  
 135 solvable and linear. It is well-known (see e.g. [39, III.15.C]) that  $\text{BS}(1, q)$  is isomorphic to  
 136 the subgroup  $T(q)$  of  $\text{GL}(2, \mathbb{Q})$  consisting of the upper triangular matrices

$$137 \quad \begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} \quad (2)$$

138 with  $k \in \mathbb{Z}$  and  $u \in \mathbb{Z}[1/q]$ . This means we have the multiplication

$$139 \quad \begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} q^\ell & v \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} q^{\ell+k} & u + v \cdot q^k \\ 0 & 1 \end{pmatrix}. \quad (3)$$

140 Let us define the morphism  $h : \{a, a^{-1}, t, t^{-1}\}^* \rightarrow T(q)$  by

$$141 \quad h(a) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad h(t) = \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix} \quad (4)$$

142 and  $h(a^{-1}) = h(a)^{-1}$ ,  $h(t^{-1}) = h(t)^{-1}$ . Then  $h(w)$  is the identity matrix if and only if  $w = 1$   
 143 in  $\text{BS}(1, q)$ .

144 **► Lemma 2.1.** *Given a word  $w \in \{a, a^{-1}, t, t^{-1}\}^*$  we can compute in  $\text{TC}^0$  the matrix  $h(w)$   
 145 with matrix entries given in  $q$ -ary encoding. Vice versa, given a matrix  $A \in T(q)$  with  $q$ -ary  
 146 encoded entries, we can compute in  $\text{TC}^0$  a word  $w \in h^{-1}(A)$ .*

147 **Proof.** First consider a word  $w \in \{a, a^{-1}, t, t^{-1}\}^*$  and let  $h(w)$  be the matrix in (2). Then  $k =$   
 148  $|w|_t - |w|_{t^{-1}}$ , which can be computed in  $\text{TC}^0$ . It remains to compute the  $q$ -ary representation  
 149 of  $u$ . Let  $w_1 a^{\epsilon_1}, \dots, w_l a^{\epsilon_l}$  be all prefixes of  $w$  that end with  $a$  or  $a^{-1}$  ( $\epsilon_1, \dots, \epsilon_l \in \{-1, 1\}$ ). Let  
 150  $k_i = |w_i|_t - |w_i|_{t^{-1}}$ , which can be computed in  $\text{TC}^0$  in unary notation. Then,  $u = \sum_{i=1}^l \epsilon_i q^{k_i}$ ,  
 151 which can be easily computed in  $q$ -ary notation.

152 The inverse transformation is straightforward using the  $q$ -ary representation of a matrix  
 153 of the form (2): Note that since  $q^k$  is given in  $q$ -ary representation, the integer  $k$  is implicitly  
 154 given in unary representation. A matrix of the form  $\begin{pmatrix} 1 & q^z \\ 0 & 1 \end{pmatrix}$  (for a unary encoded  $z$ ) can be  
 155 produced by the word  $t^z a t^{-z}$ . By concatenating such words (which is possible in  $\text{TC}^0$  by  
 156 point 4 from page 3), one can produce from a given  $q$ -ary encoded number  $u \in \mathbb{Z}[1/q]$  a word  
 157 for the matrix  $\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix}$ . Finally, one has to concatenate  $t^k$  on the right in order to get (2). ◀

158 By the previous lemma, we can represent elements of  $\text{BS}(1, q)$  either as words over the  
 159 alphabet  $\{a, a^{-1}, t, t^{-1}\}$  or by matrices from  $T(q)$  with  $q$ -ary encoded entries. For the matrix  
 160  $A \in T(q)$  in (2) we define  $\|A\| = |k| + \|u\|_q$ . Hence  $\|A\|$  is the length of the encoding of  $A$ .

161 A group that is closely related to  $\text{BS}(1, q)$  is the restricted wreath product  $\mathbb{Z} \wr \mathbb{Z}$ . It is  
 162 isomorphic to the group of all matrices

$$163 \quad \begin{pmatrix} x^k & P(x) \\ 0 & 1 \end{pmatrix} \quad (5)$$

164 where  $k \in \mathbb{Z}$  and  $P(x) \in \mathbb{Z}[x, x^{-1}]$  (see e.g. [31, Section 2.2]). It can be generated by

$$165 \quad a = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad t = \begin{pmatrix} x & 0 \\ 0 & 1 \end{pmatrix}.$$

166 In contrast to  $\text{BS}(1, q)$ , the group is  $\mathbb{Z} \wr \mathbb{Z}$  not finitely presented [4]. Obviously we have:

167 ► **Lemma 2.2.** *The mapping  $\phi_q : \left( \begin{smallmatrix} x^c & P(x) \\ 0 & 1 \end{smallmatrix} \right) \mapsto \left( \begin{smallmatrix} q^c & P(q) \\ 0 & 1 \end{smallmatrix} \right)$  is a surjective homomorphism*  
 168  $\phi_q : \mathbb{Z} \wr \mathbb{Z} \rightarrow T(q) \cong \text{BS}(1, q)$ .

169 With our choice of generators  $a, t$  for  $\mathbb{Z} \wr \mathbb{Z}$  and  $\text{BS}(1, q) = \langle a, t \mid tat^{-1} = a^q \rangle$ , the above  
 170 homomorphism  $\phi_q$  satisfies  $\phi_q(a) = a$  and  $\phi_q(t) = t$ .

171 **Knapsack and the power word problem** Let  $G = \langle \Sigma \rangle$  be a f.g. group. Moreover, let  
 172  $x_1, x_2, \dots, x_d$  be pairwise distinct variables. A *knapsack expression* over  $G$  is an expression of  
 173 the form  $E = v_0 u_1^{x_1} v_1 u_2^{x_2} v_2 \cdots u_d^{x_d} v_d$  with  $d \geq 1$ , words  $v_0, \dots, v_d \in \Sigma^*$  and non-empty words  
 174  $u_1, \dots, u_d \in \Sigma^*$ . A tuple  $(n_1, \dots, n_d) \in \mathbb{N}^d$  is a  $G$ -*solution* of  $E$  if  $v_0 u_1^{n_1} v_1 u_2^{n_2} v_2 \cdots u_d^{n_d} v_d = 1$   
 175 in  $G$ . With  $\text{sol}_G(E)$  we denote the set of all  $G$ -solutions of  $E$ . The *size* of  $E$  is defined as  
 176  $|E| = \sum_{i=1}^d |u_i| + |v_i|$ . The *knapsack problem* for  $G$ ,  $\text{KNAPSACK}(G)$  for short, is the following  
 177 decision problem:

178 **Input** A knapsack expression  $E$  over  $G$ .

179 **Question** Is  $\text{sol}_G(E)$  non-empty?

180 It is easy to observe that the concrete choice of the generating set  $\Sigma$  has no influence on  
 181 the decidability/complexity status of  $\text{KNAPSACK}(G)$ . W.l.o.g. we can restrict to knapsack  
 182 expressions of the form  $u_1^{x_1} u_2^{x_2} \cdots u_d^{x_d} v$ : for  $E = v_0 u_1^{x_1} v_1 u_2^{x_2} v_2 \cdots u_d^{x_d} v_d$  and

$$183 \quad E' = (v_0 u_1 v_0^{-1})^{x_1} (v_0 v_1 u_2 v_1^{-1} v_0^{-1})^{x_2} \cdots (v_0 \cdots v_{d-1} u_d v_{d-1}^{-1} \cdots v_0^{-1})^{x_d} v_0 \cdots v_{d-1} v_d$$

184 we have  $\text{sol}_G(E) = \text{sol}_G(E')$ .

185 A *power word* (over  $\Sigma$ ) is a tuple  $(u_1, k_1, u_2, k_2, \dots, u_d, k_d)$  where  $u_1, \dots, u_d \in \Sigma^*$  are  
 186 words over the group generators and  $k_1, \dots, k_d \in \mathbb{Z}$  are integers that are given in binary  
 187 notation. Such a power word represents the word  $u_1^{k_1} u_2^{k_2} \cdots u_d^{k_d}$ . Quite often, we will identify  
 188 the power word  $(u_1, k_1, u_2, k_2, \dots, u_d, k_d)$  with the word  $u_1^{k_1} u_2^{k_2} \cdots u_d^{k_d}$ . The *power word*  
 189 *problem* for the f.g. group  $G$ ,  $\text{POWERWP}(G)$  for short, is defined as follows:

190 **Input** A power word  $(u_1, k_1, u_2, k_2, \dots, u_d, k_d)$ .

191 **Question** Does  $u_1^{k_1} u_2^{k_2} \cdots u_d^{k_d} = 1$  hold in  $G$ ?

192 Due to the binary encoded exponents, a power word can be seen as a succinct description of  
 193 an ordinary word. The size of the above power word  $w$  is  $\sum_{i=1}^d |u_i| + \lceil \log_2 k_i \rceil$  which is the  
 194 length of the binary encoding of  $w$ .

### 195 3 Power word problem for $\text{BS}(1, q)$

196 In this section we prove our first main result:

197 ► **Theorem 3.1.** *For every  $q \in \mathbb{N}$  with  $q \geq 2$ ,  $\text{POWERWP}(\text{BS}(1, q))$  belongs to  $\text{TC}^0$ .*

198 For the proof we will first work in the wreath product  $\mathbb{Z} \wr \mathbb{Z}$ . Recall the homomorphism  $\phi_q$   
 199 from Lemma 2.2. The evaluation of a given power word over the group  $\mathbb{Z} \wr \mathbb{Z}$  leads to periodic  
 200 Laurent polynomials, which we consider first.

201 **Periodic Laurent polynomials.** Consider a Laurent polynomial  $P(x) = \sum_{i \in \mathbb{Z}} a_i x^i \in$   
 202  $\mathbb{Z}[x, x^{-1}]$ . We define its support  $\text{supp}(P) = \{i \in \mathbb{Z} \mid a_i \neq 0\}$ . For  $f \geq 1$  we say that  
 203  $P(x)$  is  $f$ -*periodic* on the interval  $[k, \ell] \subseteq \mathbb{Z}$  if  $\text{supp}(P) \subseteq [k, \ell]$  and  $a_i = a_{i-f}$  for all  
 204  $k + f \leq i \leq \ell$ . Then we have

$$205 \quad (1 - x^f) \cdot P(x) = \sum_{i=k}^{\ell} (a_i x^i - a_i x^{i+f}) = \sum_{i=k}^{k+f-1} a_i x^i - \sum_{i=\ell+1}^{\ell+f} a_{i-f} x^i. \quad (6)$$

206 We have to work with periodic Laurent polynomials that consist of exponentially (with  
 207 respect to the size of the input power word) many monomials but where the period  $f$  is  
 208 polynomially bounded in the input size. Such a Laurent polynomial can be represented by  
 209 the first  $f$  coefficients together with the period  $f$  (in unary representation). We will always  
 210 use this representation when dealing with periodic Laurent polynomials.

211 ► **Lemma 3.2.** *Let  $k, \ell \in \mathbb{Z}$  and  $P_1(x), \dots, P_m(x) \in \mathbb{Z}[x, x^{-1}]$  be Laurent polynomials such  
 212 that  $P_i$  is  $f_i$ -periodic on  $[k, \ell]$  and let  $f := \sum_{1 \leq i \leq m} f_i$ . Then we can compute in  $\text{TC}^0$  Laurent  
 213 polynomials  $S(x)$ ,  $L(x)$  and  $R(x)$  with the following properties:*

- 214 ■  $S(x) \cdot \sum_{i=1}^m P_i(x) = L(x) + R(x)$ ,
- 215 ■  $\text{supp}(S) \subseteq [0, f]$  (hence,  $S$  is an ordinary polynomial of degree at most  $f$ ),
- 216 ■  $\text{supp}(L) \subseteq [k, k + f - 1]$ ,
- 217 ■  $\text{supp}(R) \subseteq [\ell + 1, \ell + f]$ , and
- 218 ■  $S(q) \neq 0$  for every  $q \in \mathbb{N} \setminus \{1\}$ .

219 **Proof.** By (6) there exist polynomials  $L_i(x)$  and  $R_i(x)$  such that for all  $i \in [1, m]$ :

- 220 ■  $(1 - x^{f_i}) \cdot P_i(x) = L_i(x) + R_i(x)$ ,
- 221 ■  $\text{supp}(L_i) \subseteq [k, k + f_i - 1]$ , and
- 222 ■  $\text{supp}(R_i) \subseteq [\ell + 1, \ell + f_i]$ .

223 Moreover, the  $L_i(x)$  and  $R_i(x)$  are clearly computable in  $\text{TC}^0$  from the  $P_i(x)$ . With  $S(x) :=$   
 224  $\prod_{1 \leq i \leq m} (1 - x^{f_i})$  and  $\tilde{S}_i(x) := \prod_{j \neq i} (1 - x^{f_j})$  we get

$$225 \quad S(x) \cdot \sum_{i=1}^m P_i(x) = \sum_{i=1}^m S(x) \cdot P_i(x) = \sum_{i=1}^m \tilde{S}_i(x) L_i(x) + \sum_{i=1}^m \tilde{S}_i(x) R_i(x).$$

226 Let us set  $L(x) = \sum_{i=1}^m \tilde{S}_i(x) L_i(x)$  and  $R(x) = \sum_{i=1}^m \tilde{S}_i(x) R_i(x)$ . We then get  $\text{supp}(S) \subseteq$   
 227  $[0, f]$ ,  $\text{supp}(L) \subseteq [k, k + f - 1]$ , and  $\text{supp}(R) \subseteq [\ell + 1, \ell + f]$ . Since iterated addition and  
 228 multiplication of polynomials is in  $\text{TC}^0$ , we can compute the polynomials  $L(x)$  and  $R(x)$  in  
 229  $\text{TC}^0$ . The fact that we are dealing with Laurent polynomials does not cause any problems here.  
 230 Formally, one can multiply all polynomials by suitable powers of  $x$  in order to get ordinary  
 231 polynomials, then add/multiply all polynomials and finally multiply by the appropriate  
 232 negative power of  $x$ . ◀

233 **Proof sketch of Theorem 3.1.** Let us now consider a Baumslag-Solitar group  $\text{BS}(1, q)$   
 234 with  $q \geq 2$  and the surjective homomorphism  $\phi_q : \mathbb{Z} \wr \mathbb{Z} \rightarrow \text{BS}(1, q)$ . Let us write  
 235  $\chi : \{a, a^{-1}, t, t^{-1}\}^* \rightarrow \mathbb{Z} \wr \mathbb{Z}$  for the canonical monoid morphism that maps a word  $w \in$   
 236  $\{a, a^{-1}, t, t^{-1}\}^*$  to the group element of  $\mathbb{Z} \wr \mathbb{Z}$  represented by  $w$ .

237 Consider a power word  $w = u_1^{z_1} u_2^{z_2} \dots u_d^{z_d}$  with  $u_i \in \{a, a^{-1}, t, t^{-1}\}^*$  and let  $n$  be the size  
 238 of  $w$ . In the first step we compute a suitable representation of the group element  $\chi(w) \in \mathbb{Z} \wr \mathbb{Z}$ .  
 239 Based on this representation we check in the second step whether  $\phi_q(\chi(w)) = 1$  in  $\text{BS}(1, q)$ .

240 **Step 1.** The first step follows [27, 28], where it was shown that  $\text{POWERWP}(\mathbb{Z} \wr \mathbb{Z})$  is in  
 241  $\text{TC}^0$ . Let

$$242 \quad \chi(w) = \begin{pmatrix} x^c & P(x) \\ 0 & 1 \end{pmatrix}.$$

243 The integer  $c$  can be computed in  $\text{TC}^0$ ; this is just iterated addition. If  $c \neq 0$ , then  
 244  $\phi_q(\chi(w)) \neq 1$  and we can reject. Hence, let us assume that  $c = 0$ . Clearly, we cannot  
 245 compute the Laurent polynomial  $P(x)$  in polynomial time; it could be a sum of exponentially  
 246 many monomials. Nevertheless we can compute a certain implicit representation of  $P(x)$ . In

247 more detail, we compute from the power word  $w$  in  $\text{TC}^0$  polynomially many binary-encoded  
 248 integers  $c_0 < c_1 < \dots < c_m$  with  $m$  odd such that  $\text{supp}(P) \subseteq [c_0, c_m - 1]$ . Hence, the Laurent  
 249 polynomial  $P(x)$  can be written as

$$250 \quad P(x) = \sum_{c_0 \leq i < c_m} a_i x^i.$$

251 By conjugating the power word  $w$  with a large enough power of  $t$ , we can assume that  $c_0 = 0$ .  
 252 Hence  $P(x) \in \mathbb{Z}[x]$ . Moreover, if we define the polynomials

$$253 \quad P_j(x) = \sum_{c_j \leq i < c_{j+1}} a_i x^i$$

254 (so that  $P(x) = P_0(x) + P_1(x) + \dots + P_{m-1}(x)$ ) then we get the following from [28]:

- 255 ■ For every even  $j$ , the polynomial  $P_j$  can be computed explicitly in  $\text{TC}^0$ . In particular,  
 256 this means that  $c_{j+1} - c_j$  must be bounded by  $\text{poly}(n)$ . The coefficients of  $P_j$  are of  
 257 magnitude  $\exp(n)$ , hence they will be computed in binary notation.
- 258 ■ For every odd  $j$ , the polynomial  $P_j$  is a sum of at most  $d$  polynomials  $P_{j,1}, \dots, P_{j,d_j}$ ,  
 259 where for all  $1 \leq \ell \leq d_j$ ,  $P_{j,\ell}$  is  $f_{j,\ell}$ -periodic on the interval  $[c_j, c_{j+1} - 1]$  for some  
 260  $f_{j,\ell} \leq n$ . All coefficients of  $P_{j,\ell}$  are bounded by  $n$  too. We can then compute in  $\text{TC}^0$  for  
 261 all  $1 \leq \ell \leq d_j$  the period  $f_{j,\ell}$  (in unary notation) and the  $f_{j,\ell}$  first coefficients of  $P_{j,\ell}$ .  
 262 These data uniquely represent  $P_j$ .

263 We refer to the full version [30] for a brief summary of the arguments from [28].

264 **Step 2.** Using the data that was computed in the first step, it remains to verify in  $\text{TC}^0$   
 265 that  $P(q) = \sum_{i=0}^{m-1} P_i(q) = 0$ . From the polynomials  $P_{j,\ell}$  and their periods  $f_{j,\ell}$  we can by  
 266 Lemma 3.2 compute in  $\text{TC}^0$  for every odd  $j$  polynomials  $S_j(x)$ ,  $L_j(x)$  and  $R_j(x)$  with the  
 267 following properties, where  $f_j = \sum_{\ell=1}^{d_j} f_{j,\ell}$ :

- 268 ■  $S_j(x) \cdot P_j(x) = L_j(x) + R_j(x)$ ,
- 269 ■  $\text{supp}(S_j) \subseteq [0, f_j]$
- 270 ■  $\text{supp}(L_j) \subseteq [c_j, c_j + f_j - 1]$ ,
- 271 ■  $\text{supp}(R_j) \subseteq [c_{j+1}, c_{j+1} + f_j - 1]$ , and
- 272 ■  $S_j(q) \neq 0$ .

273 Let  $p_j = q^{-c_j} P_j(q)$  (an integer) for  $j \in [0, m - 1]$  and  $s_j = S_j(q)$  (a non-zero integer) for  
 274 every odd  $j \in [1, m - 2]$ . We can compute in  $\text{TC}^0$  for every odd  $j \in [1, m - 2]$  the integer  $s_j$   
 275 as well as the integers  $\ell_j = q^{-c_j} L_j(q)$  and  $r_j = q^{-c_{j+1}} R_j(q)$  in binary representation. For  
 276 every even  $j \in [0, m - 1]$  we can compute in  $\text{TC}^0$  the binary representation of the integer  $p_j$ .  
 277 For all odd  $j$  we have

$$278 \quad q^{c_j} s_j p_j = q^{c_j} \ell_j + q^{c_{j+1}} r_j. \tag{7}$$

279 To streamline the presentation, we define  $r_{-1} = \ell_m = 0$  and  $s_{-1} = s_m = 1$ . We can also  
 280 compute an upper bound  $e \in \mathbb{N}$  for the absolute value of the coefficients  $a_i$  in the polynomial  
 281  $P(x)$ . This number  $e$  is of size  $\exp(n)$  and we can compute in  $\text{TC}^0$  its binary representation.

282 For a position  $i \in [0, c_m]$  let  $\text{carry}(i)$  be the carry that arrives in position  $i$  when we  
 283 compute the  $q$ -ary expansion of  $P(q)$ . Formally, it can be defined by

$$284 \quad \text{carry}(i) = \left\lfloor \sum_{0 \leq j < i} a_j q^{j-i} \right\rfloor \cdot q^i.$$

285 Clearly,  $\text{carry}(0) = 0$ . Moreover, we can bound the absolute value  $|\text{carry}(i)|$  by

$$286 \quad |\text{carry}(i)| = \left| \left[ \sum_{0 \leq j < i} a_j q^{j-i} \right] \cdot q^i \right| \leq e \cdot \sum_{0 \leq j < i} q^j < e \cdot q^i.$$

287 Let us write  $\text{carry}(c_j) = q^{c_j} \gamma_j$  for an integer  $\gamma_j$  satisfying  $|\gamma_j| < e$ . Then for every odd  
288  $j \in [1, m-2]$  we get from (7)

$$289 \quad (q^{c_j} p_j + \text{carry}(c_j)) \cdot s_j = q^{c_j} \ell_j + \text{carry}(c_j) s_j + q^{c_{j+1}} r_j = q^{c_j} (\ell_j + \gamma_j \cdot s_j) + q^{c_{j+1}} r_j. \quad (8)$$

290 The following claim follows directly from the definition of the carries:

291 **Claim 1.**  $P(q) = 0$  if and only if the following two properties hold:

292 (A)  $q^{c_{j+1}} \mid (q^{c_j} p_j + \text{carry}(c_j))$  for all  $0 \leq j \leq m-1$ , and

293 (B)  $\text{carry}(c_m) = 0$ .

294 Hence, for every  $0 \leq j \leq m-1$  we have to compute  $p_j + q^{-c_j} \text{carry}(c_j) = p_j + \gamma_j$ , whose  
295 absolute value is bounded by  $|p_j| + e$ . There are two problems: If  $j$  is odd then we cannot  
296 compute  $p_j$  explicitly (it may have exponentially many bits). Moreover, we do not know  
297  $\text{carry}(c_j)$ . In order to solve these problems, we start with some preprocessing.

298 **Preprocessing.** We merge an interval  $[c_j, c_{j+1} - 1]$  with  $j$  odd with the neighboring (polyno-  
299 mially long) intervals  $[c_{j-1}, c_j - 1]$  and  $[c_{j+1}, c_{j+2} - 1]$  (if they exist) in case the interval length  
300  $c_{j+1} - c_j$  satisfies  $q^{c_{j+1} - c_j} \leq |\ell_j| + e \cdot |s_j|$ . Note that this implies  $c_{j+1} - c_j \leq \log_q(|\ell_j| + e \cdot |s_j|)$   
301 which is of size  $\text{poly}(n)$ . Hence, we can compute in  $\text{TC}^0$  the polynomial  $P_j(x)$  explicitly,  
302 which allows us to add to  $P_j(x)$  the neighboring polynomials  $P_{j-1}(x)$  and  $P_{j+1}(x)$  (that  
303 have been computed explicitly before). In fact this merging might happen for a block of  
304 more than three consecutive polynomials  $P_j(x)$ .

305 After this preprocessing, we can assume that for every odd  $j \in [1, m-2]$  we have  $q^{c_{j+1} - c_j} >$   
306  $|\ell_j| + e \cdot |s_j|$ . For the absolute value of the term  $q^{c_j} \cdot (\ell_j + \gamma_j \cdot s_j)$  in (8) we then obtain

$$307 \quad q^{c_j} \cdot |\ell_j + \gamma_j \cdot s_j| \leq q^{c_j} \cdot (|\ell_j| + |\gamma_j| \cdot |s_j|) < q^{c_j} \cdot (|\ell_j| + e \cdot |s_j|) < q^{c_{j+1}}. \quad (9)$$

308 With (8), this implies that if  $\ell_j + \gamma_j \cdot s_j \neq 0$  then  $(q^{c_j} p_j + \text{carry}(c_j)) \cdot s_j$  is not a multiple of  
309  $q^{c_{j+1}}$ . Hence, also  $q^{c_j} p_j + \text{carry}(c_j)$  is not a multiple of  $q^{c_{j+1}}$ , which implies  $P(q) \neq 0$  by (A).  
310 In summary, the preprocessing makes the term  $\ell_j + \gamma_j \cdot s_j$  in (8) vanish for odd  $j \geq 1$  in case  
311  $P(q) = 0$ . In particular, this lets us express  $q^{c_j} p_j + \text{carry}(c_j)$  in terms of  $q^{c_{j+1}}$ ,  $r_j$ , and  $s_j$ .

312 We now state the following main claim, which directly implies that  $P(q) = 0$  can be  
313 checked in  $\text{TC}^0$  (for this, we use the seminal result of Hesse et al. [19] according to which  
314 integer division is in  $\text{TC}^0$ ).

315 **Claim 2.**  $P(q) = 0$  if and only if the following conditions hold.

316 (a)  $s_j \mid r_j$  for every odd  $1 \leq j \leq m-2$  (for  $j = -1$  this holds by definition of  $r_{-1}$  and  $s_{-1}$ ),

317 (b)  $q^{c_{j+2} - c_{j+1}} \mid (p_{j+1} + r_j/s_j)$  for every odd  $-1 \leq j \leq m-2$ ,

318 (c)  $\ell_{j+2} + q^{c_{j+1} - c_{j+2}}(p_{j+1} + r_j/s_j)s_{j+2} = 0$  for every odd  $-1 \leq j \leq m-2$ ,

319 The proof is based on equations (8) and (9). For the only-if-direction (where we start with  
320  $P(q) = 0$ ) we must have  $\ell_j + \gamma_j \cdot s_j = 0$  for all odd  $j \geq 1$  by the remark after (9). From this  
321 and Claim 1 one can easily deduce properties (a)–(c). Vice versa, from (a)–(c) one can show  
322 Claim 1(A) by induction over  $j \geq 0$ . For this one proves simultaneously over  $j$  the following  
323 auxiliary statements:



324 (C)  $\text{carry}(c_j) = q^{c_j} r_{j-1} / s_{j-1}$  for even  $j \in [0, m-1]$ ,

325 (D)  $\text{carry}(c_j) = q^{c_j-1} (p_{j-1} + r_{j-2} / s_{j-2})$  for odd  $j \in [1, m]$ .

326 Claim 1(B) then follows directly from (c) (for  $j = m-2$ ) and (D) (for  $j = m$ ). Full details  
327 can be found in the long version [30]. ◀

## 328 4 Knapsack for BS(1,q)

329 Whether the knapsack problem is decidable for BS(1,q) was left open in [9]. Our second  
330 main result gives a positive answer and also settles the computational complexity:

331 ▶ **Theorem 4.1.** *For every  $q \geq 2$ ,  $\text{KNAPSACK}(\text{BS}(1, q))$  is NP-complete.*

332 Let us first remark that BS(1,q) is unusual in terms of its knapsack solution sets. In almost  
333 all groups where knapsack is known to be decidable, knapsack equations have semilinear  
334 solution sets [11, 12, 15, 23, 26, 29]. After the discrete Heisenberg group [23], the groups  
335 BS(1,q) are only the second known example where this is not the case: The knapsack  
336 equation  $t^{-x_1} a^{x_2} t^{x_3} = a$  has the non-semilinear solution set  $\{(k, q^k, k) \mid k \in \mathbb{N}\}$ .

337 Another unusual aspect is that knapsack is in NP although there are knapsack equations  
338 over BS(1,2) whose solutions are all at least doubly exponential in the size of the equation:

339 ▶ **Theorem 4.2.** *There is a family  $E_k = E_k(x, y, z)$ ,  $k \geq 1$ , of solvable knapsack expressions  
340 over BS(1,2) such that  $|E_k| = \Theta(k)$  and  $z \geq (2^{2 \cdot 3^{k-1}} - 1) / 3^k - 1$  for every solution of  $E_k = 1$ .*

341 **Proof.** It is a well-known fact in elementary number theory that 2 is a primitive root  
342 modulo  $3^k$  for every  $k \geq 1$ . See, for example, Theorem 3.6 and the remarks before Theorem 3.8  
343 in [34]. Consider the knapsack equation

$$344 \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}^x \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 2^{-1} & 0 \\ 0 & 1 \end{pmatrix}^y \begin{pmatrix} 1 & -3^k \\ 0 & 1 \end{pmatrix}^z = \begin{pmatrix} 1 & 3^k + 1 \\ 0 & 1 \end{pmatrix} \quad (10)$$

345 in BS(1,2). In the top-left entry, it implies  $2^x 2^{-y} = 2^0$ . Therefore, we must have  $x = y$  in  
346 every solution. In this case, the left-hand side of Equation (10) is

$$347 \begin{pmatrix} 2^x & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 2^{-x} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -z \cdot 3^k \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 2^x - z \cdot 3^k \\ 0 & 1 \end{pmatrix}.$$

348 Therefore, Equation (10) is equivalent to  $x = y$  and  $2^x - z \cdot 3^k = 3^k + 1$ . Since some  
349 non-zero power of 2 is congruent to 1 modulo  $3^k$ , Equation (10) has a solution. Moreover,  
350 any solution must satisfy  $2^x \equiv 1 \pmod{3^k}$ . Since 2 is a primitive root modulo  $3^k$ , i.e.,  
351 2 generates the group  $(\mathbb{Z}/3^k\mathbb{Z})^*$  (the group of units of  $\mathbb{Z}/3^k\mathbb{Z}$ ),  $x$  must be a multiple of  
352  $|(\mathbb{Z}/3^k\mathbb{Z})^*| = \varphi(3^k) = 2 \cdot 3^{k-1}$  (here,  $\varphi$  is Euler's phi-function). Moreover,  $x$  must be  
353 non-zero, because  $1 - z \cdot 3^k = 3^k + 1$  is not possible for  $z \in \mathbb{N}$ . We obtain  $x \geq 2 \cdot 3^{k-1}$ . Since  
354  $2^x - z \cdot 3^k = 3^k + 1$ , this yields  $z = (2^x - 3^k - 1) / 3^k \geq (2^{2 \cdot 3^{k-1}} - 1) / 3^k - 1$ . ◀

355 ▶ **Remark 4.3.** Subject to Artin's conjecture on primitive roots [20], a similar doubly-  
356 exponential lower bound results for every BS(1,q) where  $q \geq 2$  is not a perfect square.  
357 Moreover, Theorem 4.2 holds even if the variables  $x, y, z$  range over  $\mathbb{Z}$ . For this, one replaces  
358  $3^k + 1$  with the inverse of 2 in  $(\mathbb{Z}/3^k\mathbb{Z})^*$ .

359 Theorem 4.2 rules out a simple guess-and-verify strategy to show Theorem 4.1. If one has  
360 an exponential upper bound (in terms of input length) on the size of a smallest solution  
361 of a knapsack equation, then one can guess the binary representation of a solution and

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362 verify, using the power word problem, whether the guess is indeed a solution. The second  
 363 step (verification of a solution using the power word problem) would work for  $\text{BS}(1, q)$  in  
 364 polynomial time due to Theorem 3.1, but the first step (guessing a binary encoded candidate  
 365 for a solution) does not work for  $\text{BS}(1, 2)$  due to Theorem 4.2.

366 Our main tool for the proof of Theorem 4.1 is a recent result from [17] concerning the  
 367 existential fragment of Büchi arithmetic.

368 **Büchi arithmetic.** *Büchi arithmetic* [7] is the first-order theory of  $(\mathbb{Z}, +, \geq, 0, V_q)$ . Here,  $V_q$   
 369 is the function that maps  $n \in \mathbb{Z}$  to the largest power of  $q$  that divides  $n$ . It is well-known  
 370 that Büchi arithmetic is decidable (this was first claimed in [7]; a correct proof was given  
 371 in [5]). We will rely on the following recent result of Guépin, Haase, and Worrell [17]:

372 ► **Theorem 4.4** (c.f. [17]). *The existential fragment of Büchi arithmetic belongs to NP.*<sup>2</sup>

373 We will also make use of the following simple lemma:

374 ► **Lemma 4.5.** *Given the  $q$ -ary representation of a number  $r \in \mathbb{Z}[1/q]$  we can construct in  
 375 polynomial time an existential Presburger formula over  $(\mathbb{Z}, +)$  of size  $\mathcal{O}(\|r\|_q)$  which expresses  
 376  $y = r \cdot x$  for  $x, y \in \mathbb{Z}$ .*

377 **Proof.** Let  $r = \sum_{-k \leq i \leq \ell} a_i q^i$  with  $k, \ell \geq 0$  and  $0 \leq a_i < q$  for  $-k \leq i \leq \ell$ . We have  $y = rx$   
 378 if and only if  $q^k y = r'x$  for  $r' = \sum_{i=0}^{k+\ell} a_{i-k} q^i \in \mathbb{Z}$ . Using iterated multiplication with the  
 379 constant  $q$  (which can be replaced by addition) we can easily define from  $x$  and  $y$  the integers  
 380  $q^k y$  and  $r'x$  by Presburger formulas of size  $\mathcal{O}(k + \ell) = \mathcal{O}(\|r\|_q)$ . ◀

381 **Proof of Theorem 4.1.** We start with the lower bound. The *multisubset sum problem*  
 382 asks for integers  $a_1, \dots, a_d, b \in \mathbb{Z}$  given in binary, whether there exist natural numbers  
 383  $x_1, \dots, x_d \geq 0$  with  $x_1 a_1 + \dots + x_d a_d = b$ . It is known to be NP-complete [18]. Since the  
 384 knapsack equation

$$385 \quad \begin{pmatrix} 1 & a_1 \\ 0 & 1 \end{pmatrix}^{x_1} \cdots \begin{pmatrix} 1 & a_d \\ 0 & 1 \end{pmatrix}^{x_d} = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}$$

386 is equivalent to  $x_1 a_1 + \dots + x_d a_d = b$ , we obtain NP-hardness of knapsack over  $\text{BS}(1, q)$ .  
 387 Note that computing the  $q$ -ary representation of  $a_i$  from the binary representation is possible  
 388 in logspace (even in  $\text{TC}^0$ ).

389 For the upper bound we reduce  $\text{KNAPSACK}(\text{BS}(1, q))$  to the existential fragment of Büchi  
 390 arithmetic, which belongs to NP by Theorem 4.4. We proceed in three steps.

391 **Step 1: Expressing  $M_q$  and  $M_q^*$  using  $S_\ell$ .** We first express a particular set of binary  
 392 relations using existential first-order formulas over  $(\mathbb{Z}, +, \geq, 0, V_q, (S_\ell)_{\ell \in \mathbb{Z}})$ . Here, for  $\ell \in \mathbb{Z}$ ,  
 393  $S_\ell$  is the binary predicate with

$$394 \quad x S_\ell y \iff \exists r \in \mathbb{N} \exists s \in \mathbb{N}: x = q^r \wedge y = q^{r+\ell \cdot s}.$$

395 Let  $T_{\mathbb{Z}}(q)$  denote the subset of matrices in  $T(q)$  that have entries in  $\mathbb{Z}$ . We represent the  
 396 matrix  $\begin{pmatrix} m & n \\ 0 & 1 \end{pmatrix} \in T_{\mathbb{Z}}(q)$  by the pair  $(m, n) \in \mathbb{Z} \times \mathbb{Z}$  (note that we must have  $m \in \mathbb{N}$ ). Observe

<sup>2</sup> The paper [17] shows an NP upper bound for the structure  $(\mathbb{N}, +, 0, V_q)$ , but an existential sentence over the structure  $(\mathbb{Z}, +, \geq, 0, V_q)$  easily translates into one over  $(\mathbb{N}, +, 0, V_q)$ .

397 that we can define the set of pairs  $(m, n) \in \mathbb{Z}$  such that  $\begin{pmatrix} m & n \\ 0 & 1 \end{pmatrix} \in T_{\mathbb{Z}}(q)$ , because this is  
 398 equivalent to  $m$  being a power of  $q$ , which is expressed by  $1S_1m$ .

399 A key trick is to express solvability of a knapsack equation  $g_1^{x_1} \cdots g_d^{x_d} = g$  without  
 400 introducing variables in the logic for  $x_1, \dots, x_d$ . Instead, we employ the binary relations  $M_g$   
 401 and  $M_g^*$  on  $T_{\mathbb{Z}}(q)$ , which allow us to express existence of powers implicitly. For  $g \in T(q)$  and  
 402  $x, y \in T_{\mathbb{Z}}(q)$ , we have:

$$403 \quad \blacksquare \quad xM_gy \iff y = xg,$$

$$404 \quad \blacksquare \quad xM_g^*y \iff \exists s \in \mathbb{N}: y = xg^s.$$

405 We construct existential formulas of size polynomial in  $\|g\|$  over  $(\mathbb{Z}, +, \geq, 0, V_q, (S_\ell)_{\ell \in \mathbb{Z}})$ ,  
 406 which define the relations  $M_g$  and  $M_g^*$ . Let  $g = \begin{pmatrix} q^\ell & v \\ 0 & 1 \end{pmatrix}$ .

407 Note that the relation  $M_g$  is easily expressible because we can express multiplication  
 408 with  $q^\ell$  and  $v$  by Presburger formulas of length  $\|g\|$ , see Lemma 4.5. We now focus on the  
 409 relations  $M_g^*$ . Observe that for  $\ell \neq 0$ , we have

$$410 \quad \begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} q^\ell & v \\ 0 & 1 \end{pmatrix}^s = \begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} q^{\ell s} & v + q^\ell v + \cdots + q^{(s-1)\ell}v \\ 0 & 1 \end{pmatrix} \\
 411 \quad \quad \quad = \begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} q^{\ell s} & v \frac{q^{\ell s} - 1}{q^\ell - 1} \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} q^{k+\ell s} & u + v \frac{q^{k+\ell s} - q^k}{q^\ell - 1} \\ 0 & 1 \end{pmatrix}. \\
 412$$

413 Therefore,  $\begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} M_g^* \begin{pmatrix} q^m & w \\ 0 & 1 \end{pmatrix}$  is equivalent to

$$414 \quad \exists x \in \mathbb{Z} \exists s \in \mathbb{N}: q^m = q^{k+\ell s} \wedge w = u + vx \wedge (q^\ell - 1)x = q^m - q^k.$$

415 Here, we can quantify  $x$  over  $\mathbb{Z}$ , because  $\frac{q^{k+\ell s} - q^k}{q^\ell - 1}$  is always an integer. Note that since we  
 416 can express multiplication with  $v$  and  $q^\ell$  by Presburger formulas of size  $\mathcal{O}(\|g\|)$  (Lemma 4.5),  
 417 we can also express  $w = u + vx$  and  $(q^\ell - 1)x = q^m - q^k$  by formulas of size  $\mathcal{O}(\|g\|)$ . Finally,  
 418 we can express  $\exists s \in \mathbb{N}: q^m = q^{k+\ell s}$  using  $q^k S_\ell q^m$ .

419 It remains to express  $\begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} M_g^* \begin{pmatrix} q^m & w \\ 0 & 1 \end{pmatrix}$  in the case  $\ell = 0$ . Note that  $g^s = \begin{pmatrix} 1 & sv \\ 0 & 1 \end{pmatrix}$  in  
 420 this case. Therefore, we have  $\begin{pmatrix} q^k & u \\ 0 & 1 \end{pmatrix} M_g^* \begin{pmatrix} q^m & w \\ 0 & 1 \end{pmatrix}$  if and only if (i) there exists  $s \in \mathbb{N}$  with  
 421  $w = u + q^k \cdot s \cdot v$  and (ii)  $q^m = q^k$ . Note that condition (i) is equivalent to  $\exists t \in \mathbb{N}: V_q(t) \geq$   
 422  $q^k \wedge w = u + v \cdot t$ . This is because choosing  $t = q^k \cdot s$  yields (i). By Lemma 4.5,  $w = u + v \cdot t$   
 423 can be expressed by a formula of size  $\mathcal{O}(\|g\|)$ .

424 **Step 2: Expressing  $S_\ell$  using  $V_q$ .** In our second step, we show that the binary relations  $M_g$   
 425 and  $M_g^*$  can be expressed using existential formulas over  $(\mathbb{Z}, +, \geq, 0, V_q)$  of size  $\text{poly}(\|g\|)$ .  
 426 As shown above, for this it suffices to define  $S_\ell$  by an existential formula over  $(\mathbb{Z}, +, \geq, 0, V_q)$   
 427 of size  $\text{poly}(\ell)$  (note that the relations  $S_\ell$  occur only positively in the formulas from Step 1).  
 428 For  $m \in \mathbb{N}$ , let  $P_m$  be the predicate where  $P_m(x)$  states that  $x$  is a power of  $m$ . We first  
 429 claim that for each  $\ell \geq 0$ , we can express  $P_{q^\ell}$  using an existential formula of size polynomial  
 430 in  $\ell$  over  $(\mathbb{Z}, +, \geq, 0, V_q)$ . The case  $\ell = 0$  is clear and  $P_q(x)$  is just  $V_q(x) = x$ . The following  
 431 observation is from the proof of Proposition 7.1 in [6].

432  $\triangleright$  **Fact 4.6.** For all  $\ell \geq 1$ ,  $P_{q^\ell}(x)$  if and only if  $P_q(x)$  and  $q^\ell - 1$  divides  $x - 1$ .

433 **Proof.** If  $x$  is a power of  $q^\ell$ , then  $x = q^{\ell \cdot s}$  for some  $s \geq 0$ . So,  $x$  is a power of  $q$ . Moreover,  
 434  $(x - 1)/(q^\ell - 1) = (q^{\ell \cdot s} - 1)/(q^\ell - 1) = \sum_{i=0}^{s-1} q^{i\ell}$  is an integer.

435 Conversely, suppose  $x$  is a power of  $q$  and  $q^\ell - 1$  divides  $x - 1$ . Write  $x = q^{\ell \cdot s + r}$  with  
 436  $0 \leq r < \ell$ . Observe that  $x - 1 = q^{s\ell + r} - 1 = q^r(q^{s\ell} - 1) + (q^r - 1)$ . Since  $q^\ell - 1$  divides  $x - 1$

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437 as well as  $q^{s\ell} - 1$ , we conclude that  $q^\ell - 1$  divides  $q^r - 1$ . As  $0 \leq r < \ell$ , this is only possible  
 438 with  $r = 0$ . This shows the above fact. ◀

439 Using the predicates  $P_{q^\ell}$ , we can now express  $S_\ell$ . Note that for  $\ell \geq 0$ , we have  $xS_\ell y$  if and  
 440 only if  $y \geq x \wedge \bigvee_{i=0}^{\ell-1} P_{q^\ell}(q^i x) \wedge P_{q^\ell}(q^i y)$ . Furthermore, for  $\ell < 0$ , we have  $xS_\ell y$  if and only if  
 441  $yS_{|\ell|}x$ . Therefore, we can express each  $S_\ell$  using an existential formula of size polynomial in  $\ell$   
 442 over  $(\mathbb{Z}, +, \geq, 0, V_q)$ . Hence, we can express  $M_g$  and  $M_g^*$  using existential formulas of size  
 443  $\text{poly}(\|g\|)$  over  $(\mathbb{Z}, +, \geq, 0, V_q)$ .

444 **Step 3: Expressing solvability of knapsack.** In the last step, we express solvability of  
 445 a knapsack equation by an existential first-order sentence over  $(\mathbb{Z}, +, \geq, 0, V_q)$ , using the  
 446 predicates  $M_g$  and  $M_g^*$ . We claim that  $g_1^{x_1} \cdots g_d^{x_d} = g$  has a solution  $(x_1, \dots, x_d) \in \mathbb{N}^d$  if and  
 447 only if there exist  $h_0, \dots, h_d \in T_{\mathbb{Z}}(q)$  with

$$448 \quad h_0 M_{g_1}^* h_1 \wedge h_1 M_{g_2}^* h_2 \wedge \cdots \wedge h_{d-1} M_{g_d}^* h_d \wedge h_0 M_g h_d. \quad (11)$$

449 Clearly, the claim implies that solvability of knapsack equations can be expressed in existential  
 450 first-order logic over  $(\mathbb{Z}, +, \geq, 0, V_q)$ .

451 If such  $h_0, \dots, h_d$  exist, then for some  $x_1, \dots, x_d \in \mathbb{N}$ , we have  $h_i = h_{i-1} g_i^{x_i}$  and  $h_d = h_0 g$ ,  
 452 which implies  $g_1^{x_1} \cdots g_d^{x_d} = g$ . For the converse, we observe that for each matrix  $A \in T(q)$ ,  
 453 there is some large enough  $k \in \mathbb{N}$  such that  $\begin{pmatrix} q^k & 0 \\ 0 & 1 \end{pmatrix} A$  has integer entries. Therefore, if  
 454  $g_1^{x_1} \cdots g_d^{x_d} = g$ , then there is some large enough  $k \in \mathbb{N}$  so that for every  $i = 1, \dots, d$ , the  
 455 matrix  $\begin{pmatrix} q^k & 0 \\ 0 & 1 \end{pmatrix} g_1^{x_1} \cdots g_i^{x_i}$  has integer entries. With this, we set  $h_0 = \begin{pmatrix} q^k & 0 \\ 0 & 1 \end{pmatrix}$  and  $h_i = h_{i-1} g_i^{x_i}$   
 456 for  $i = 1, \dots, d$ . Then we have  $h_0, \dots, h_d \in T_{\mathbb{Z}}(q)$  and Equation (11) is satisfied. ◀

## 457 **5** Open problems

458 Several open problems arise from our work:

- 459 ■ What is the complexity/decidability status of the power word/knapsack problem for  
 460 Baumslag-Solitar groups  $\text{BS}(p, q) = \langle a, t \mid ta^p t^{-1} = a^q \rangle$  for  $p > 1$ ? Decidability of  
 461 knapsack in case  $\text{gcd}(p, q) = 1$  was shown in [9], but the complexity as well as the  
 462 decidability in case  $\text{gcd}(p, q) > 1$  are open. Since the word problem for  $\text{BS}(p, q)$  can be  
 463 solved in logspace [38], one can easily show that the power word problem for  $\text{BS}(p, q)$   
 464 belongs to PSPACE. By using techniques from [27] one might try to find a logspace  
 465 reduction from the power word problem for  $\text{BS}(p, q)$  to the word problem for  $\text{BS}(p, q)$  (the  
 466 same was done for a free group in [27]); this would show that the power word problem  
 467 for  $\text{BS}(p, q)$  can be solved in logspace.
- 468 ■ Baumslag-Solitar groups  $\text{BS}(1, q)$  are examples of f.g. solvable linear groups. In [22] it  
 469 was shown that for every f.g. solvable linear group the word problem can be solved in  
 470  $\text{TC}^0$ . This leads to the question whether for every f.g. solvable linear group the power  
 471 word problem belongs to  $\text{TC}^0$ .
- 472 ■ The power word problem is a restriction of the compressed word problem, where it is asked  
 473 whether the word produced by a so-called straight-line program (a context-free grammar  
 474 that produces a single word) represents the group identity; see [25]. The compressed  
 475 word problem for  $\text{BS}(1, q)$  belongs to coRP (the complement of randomized polynomial  
 476 time); this holds in fact for every f.g. linear group [25]. No better complexity bound is  
 477 known for the compressed word problem for  $\text{BS}(1, q)$ .

478 ■ Let us define an exponent expression over a f.g. group  $G = \langle \Sigma \rangle$  as a formal expression  $E =$   
 479  $v_0 u_1^{x_1} v_1 u_2^{x_2} v_2 \cdots u_d^{x_d} v_d$  with  $d \geq 1$ , words  $v_0, \dots, v_d \in \Sigma^*$ , non-empty words  $u_1, \dots, u_d \in$   
 480  $\Sigma^*$ , and variables  $x_1, \dots, x_d$ . In contrast to knapsack expressions, we allow  $x_i = x_j$  for  
 481  $i \neq j$  in an exponent expression. The set of solutions  $\text{sol}_G(E)$  for the exponent expression  
 482  $E$  can be defined analogously to knapsack expressions. We define *solvability of exponent*  
 483 *equations over  $G$* ,  $\text{EXPEQ}(G)$  for short, as the following decision problem:

484 **Input** A finite list of exponent expressions  $E_1, \dots, E_n$  over  $G$ .

485 **Question** Is  $\bigcap_{i=1}^n \text{sol}_G(E_i)$  non-empty?

486 This problem has been studied for various groups [11, 15, 26, 29]. Our algorithm for the  
 487 knapsack problem in  $\text{BS}(1, q)$  cannot be extended to solvability of exponent equations (not  
 488 even to solvability of a single exponent equation). Recently, it has been shown that the  
 489 Diophantine theory (or, equivalently, solvability of systems of word equations) is decidable  
 490 for  $\text{BS}(1, q)$  [21]. Since every element of  $\text{BS}(1, q)$  can be written in the form  $t^x a^y t^z$  for  
 491  $x, y, z \in \mathbb{Z}$ , one can easily reduce the Diophantine theory of  $\text{BS}(1, q)$  to solvability of  
 492 exponent equations for  $\text{BS}(1, q)$ . But it is not clear at all, whether a reduction in the  
 493 opposite direction exists as well.

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